

## Translation Accuracy Disclaimer

This document is a translation of Resolution of The First Extraordinary General Meeting of Investor- The Financial Year 2023. The translation is for informational purposes only and is not a substitute for the official policy. The original version of the Resolution, found in website of the fund management company ([www.dragoncapital.com.vn](http://www.dragoncapital.com.vn)), is the only definitive and official version. If any questions arise related to the accuracy of the information contained in the translation, please refer to the Vietnamese version of the document. Any discrepancies or differences created in the translation are not binding and have no legal effect for compliance or enforcement purposes.

**DC BLUE CHIP FUND  
(DCBC)**

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No.05.23/NQĐH-DCBC-2023

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

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Ho Chi Minh City, 29<sup>th</sup> September 2023

## RESOLUTION

### THE FIRST EXTRAORDINARY GENERAL MEETING OF INVESTORS - THE FINANCIAL YEAR OF 2023 – THE SECOND CALL

- Pursuant to Law No. 54/2019/QH14 on Securities Law dated 26 November 2019 by the National Assembly.;
- Pursuant to Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law.
- Pursuant to Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company;
- Pursuant to Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund;
- Pursuant to current Charter of DC Blue Chip Fund;
- Pursuant to the result of The First Extraordinary General Meeting of Investors **second call** of The Financial Year of 2023 dated 29<sup>th</sup> September 2023.

## RESOLUTES

The Extraordinary General Meeting of Investors unanimously passed the Resolution as follows:

**Article 1: Change of name of fund, change of fund's investment objectives and investment strategy specified in the fund's charter and Amendment and supplementation of Charter of DCBC.**

**1. The change of name of the fund, as the following:**

	Fund name before the change (the old name)	The changed name of the fund (the new name)
<b>Vietnamese name</b>	Quỹ Đầu tư Doanh nghiệp Hàng đầu DC	<b><u>Quỹ Đầu tư Cổ phiếu Tập trung Cổ tức DC</u></b>
<b>English name</b>	DC BLUE CHIP FUND	<b><u>DC Dividend Focus Equity Fund</u></b>
<b>In short name</b>	DCBC	<b><u>DCDE</u></b>

The new name of the fund (The new name) shall be updated in the entire Fund charter, except for Article 71 – Registration of the Charter: only update the change of the fund name (the new name) according to the 1st Extraordinary general meeting of the financial year of 2023.

**2. Amendment and supplementation of Charter of DCBC:**

**2.1. The change of name of the fund and update the changed name of the fund (the new name) in the entire Fund charter, as the following:**

	Fund name before the change (the old name)	The changed name of the fund (the new name)
Vietnamese name	Quỹ Đầu tư Doanh nghiệp Hàng đầu DC	<u>Quỹ Đầu tư Cổ phiếu Tập trung Cổ tức DC</u>
English name	DC BLUE CHIP FUND	<u>DC Dividend Focus Equity Fund</u>
In short name	DCBC	<u>DCDE</u>

The new name of the fund (The new name) shall be updated in the entire Fund charter, except for Article 71 – Registration of the Charter: only update the change of the fund name (the new name) according to the 1st Extraordinary general meeting of the financial year of 2023. Any change of the fund name shall be taken effect since the amended Registration certificate of establishment of the fund is issued by the authority.

**2.2. Amendment of Article 1 – Name and contact details: Amend the name of the Fund**

**“ARTICLE 1. Name and Contact details**

Vietnamese name : ~~Quỹ đầu tư Doanh nghiệp Hàng đầu DC (DCBC)~~ Quỹ Đầu Tư Cổ Phiếu Tập Trung Cổ Tức DC

English name : ~~DC Blue chip Fund~~ DC Dividend Focus Equity Fund

Abbreviation : ~~DCBC~~ DCDE

Address : 15<sup>th</sup> Floor, Melinh Point Tower,  
02 Ngo Duc Ke St., Ben Nghe Ward, District 1, HCMC, Vietnam

Telephone : +84-8 3825 1488

Fax : +84-8 3825 1489

Website: : www.dragoncapital.com.vn”

**2.3. Amendment of Article 9 – Investment objectives: Change the objectives according to the new name of the fund**

**“ARTICLE 9. Investment objectives**

The objective of ~~DCBC DCDE~~ is to seek long-term returns from the investment in fixed-income and/or dividend payable securities in accordance with the provisions of the Vietnamese legal system, the prospectus and the charter of DCDE Fund equity growth and income through investing into a diversified portfolio, including equity, convertible and debt securities. Investment enterprises are the leading enterprises that have been and will be listed on the stock market.”

**2.4. Amend Article 10 – Investment Strategy: Change the strategy according to the new name of the fund**

**“ARTICLE 10. Investment Strategy**

~~DCBC DCDE~~'s investment strategy is actively invest in stocks of companies with a history of regular dividend payments in the past and/or businesses that plan to pay dividends in the near future in all industries and geographical areas permitted by the law on the management of open-ended fund activities. The Fund will prioritize the selection of businesses with annual growth dividend payments to the portfolio. Under normal market conditions, the fund will invest 100% of its assets in shares of the above-mentioned companies Vietnamese securities across the style spectrum from value to growth. The Fund's target investments will be top-ranking enterprises in term of market capitalization, total asset, liquidity in the market, total equitiy, market share, operating efficiency, and other fundamental indications....belonging to essential sectors. Those target securities are listed or will be listed on stock markets.

1. Investment Structure

DCBC DCDE shall be allowed to invest in the following assets:

- a) Deposits at commercial bank as stipulated by banking laws;
- b) Money market instruments including valuable papers and negotiable instruments in accordance with relevant laws and regulations;
- c) Government debt instruments, bonds underwritten by the Government and municipal bonds;
- d) Listed shares, registered shares, ~~and listed bonds on stock exchanges~~, public fund certificates.
- e) Shares offered for the first time to the public, ~~bonds offered to the public; Corporate bonds privately issued by listed organizations with credit institutions' payment guarantee or the issuer's commitment to repurchase at least once in 12 months and each commitment to redeem is at least 30 % value of the issue.~~ The investment in this kind of assets shall be followed the following conditions:
  - All the securities shall be approved by the Board of Representatives by documents about type, securities ticker, quantity, trading value and timing;
  - There are sufficient documents to prove the payment guarantee or documents on the issuer's commitment to buy back
- f) Listed and registered derivatives at Stock Exchanges, and solely used for hedging purpose and for the underlying securities in which the fund is holding;
- g) Rights and assets that may arise in connexion with securities that the fund is holding.
- h) Other assets as permitted by applicable open-ended fund management laws.**

2. Investment areas:

**The Fund is allowed to invest in all industries that are permitted by current open-ended fund management laws.**

~~With the above-mentioned investment strategies, the Fund's asset allocation, from time to time, would change in accordance to stocks' growth potential and market liquidity. The following sectors are DCBC's investable sectors. Changes in asset allocation by sectors will base on market liquidity and comply with investment' constraints detailing in the investment's constraints. The investable sectors include (unlimited):~~

● <del>Food &amp; Beverages</del>
● <del>Consumer goods</del>
● <del>Retailing</del>
● <del>Materials &amp; Resources</del>
● <del>Energy</del>
● <del>Banking - Diversified Financials</del>
● <del>Real estate</del>
● <del>Capital goods</del>
● <del>Utilities</del>
● <del>Insurances</del>
● <del>Consumers &amp; durable goods</del>
● <del>Transportation</del>
● <del>Pharmaceuticals, Biotechnology &amp; Life Sciences</del>
● <del>Commercial &amp; Professional Services</del>
● <del>Automobiles &amp; Components</del>
● <del>Consumer Services</del>
● <del>Media</del>
● <del>Household &amp; Personal Products</del>
● <del>Health Care Equipment &amp; Services</del>
● <del>Software &amp; Services</del>

● <b>Technology Hardware &amp; Equipment</b>
● <b>Semiconductors &amp; Semiconductor Equipment</b>
● <b>Telecommunication Services</b>

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**2.5. Amendment and supplementation of Clause 1 Article 71. Registration of the Charter:** *Update the registration of the charter*

**“ARTICLE 71. Registration of the Charter**

1. ...

**DCDE Charter is amended and supplemented at the 13th including 16 Chapters, 72 Articles and 3 Appendixes according to Resolution of the 1<sup>st</sup> of Extraordinary General Meeting of Investors of financial year 2023- convened the second time, dated on 29/09/2023 and takes effect from 29/09/2023. The changes in the name of the fund will take effect as soon as the adjusted registration certificate of establishment of the fund is issued by the authority.”**

**Article 2: Effectiveness of the Resolution.**

The resolution is effective from its signing date

**On behalf of The General Meeting**

*(signed)*

**DANG THAI NGUYEN**

**Chairman of the BOR**

**On behalf of Fund Management Company**

*(signed & sealed)*

**BEAT SCHURCH**

**CEO**