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**VFMVN DIAMOND ETF
(FUEVFNVD)**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No.: 04.20/NQĐH-FUEVFNVD-2020

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Ho Chi Minh City, 27 April 2021

RESOLUTION THE ANNUAL MEETING OF FINANCIAL YEAR 2020 VFMVN DIAMOND ETF (FUEVFNVD)- THE SECOND TIME

- Pursuant to Law No. 54/2019/QH14 on Securities Law dated 26 November 2019 by the National Assembly;
- Pursuant to Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law;
- Pursuant to Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company;
- Pursuant to Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund;
- Pursuant to current Charter of VFMVN DIAMOND ETF;
- Pursuant to the result Of The Annual Meeting Of Financial Year 2020 dated 17 April 2021s.

RESOLUTES

The Voting Issues Of The Annual Meeting Of Financial Year 2020 (“The Voting”) unanimously passed the Resolution as follows:

Article 1: Reports on operation result of the Fund in 2020.

- At December 31, 2020, the VFMVN Diamond ETF’s net asset value per share was VND16,973.4, gained 69.7% this year whilst the return of VN Diamond Index was 68.9%. Annualised return since inception of the fund and the index are 97.9% and 96.6% respectively
- Tracking Difference between VFMVN Diamond ETF and VN Diamond Index was +0.8% and Tracking Error was 0.57%.
- VFMVN Diamond portfolio rebalanced quarterly, with turnover ratio of 15.2% of average portfolio value.
- VFMVN Diamond ETF launched its IPO in March 2020 and raised VND102 billion. By the end of 2020, total subscription value for this year was VND4,115 billion and number of outstanding shares was 311.1 million (30.5 times higher than at IPO).
- Net asset value of the fund by the end of 2020 increased 51.8 times compared to IPO thanks to foreign investors’ subscription and good stock market performance.

| | Value as of 31/12/2020 | Average Annual Total Return (%) | |
|------------------|---------------------------|---------------------------------|---------------------------------|
| | | 2020 | Từ khi thành lập (23/3/2020) |
| NAV/Unit | 16,973.4 | 97.9 | NAV/Unit |
| VN Diamond Index | 1,195.6 | 96.6 | VN Diamond Index |

Article 2: Audited Annual financial statement of the Fund in 2020.

The Voting unanimously agreed Audited Annual financial statement of the Fund in 2020.

Article 3: Profit distribution plan of the Fund in 2020.

The Voting unanimously agreed Profit distribution plan of the Fund in 2020 as follow: VFMVN DIAMOND ETF not to pay dividends for 2020 and reinvest all dividends.

Article 4: Operational plan of the Fund in 2020

The Voting unanimously agreed Operational plan of the Fund in 2020 as follow:

To achieve the objective of the VFMVN DIAMOND ETF Fund is to replicate the performance of the Benchmark Index as closest as possible; VFMVN DIAMOND ETF will focus on the main points as follow:

- Monitor and update the volume and corporate action events of constituents in Benchmark Index to adjust the proportion of the portfolio closely the proportion of the Benchmark Index.
- Analyze the factors affecting the tracking error between the Fund and the Benchmark Index (if any) to have portfolio management solutions effectively.
- Research rebalancing strategies to adjust the proportion of the portfolio when Benchmark Index changes its constituents.

Article 5: Auditor selection for the Fund in 2020

The Voting unanimously authorized the Board of Representatives (“BOR”) to select the auditing

| Fund | NAV @ 31 Dec 2020 (bil VNĐ) | Audit fee for 2020 (mil VNĐ) | Audit fee proposals for 2021 (mil VNĐ) | | |
|----------|-----------------------------|------------------------------|--|------|-----------|
| | | | PwC | KPMG | (bil VNĐ) |
| FUEVFNVD | 5,280.43 | 100.00 | 190 | 150 | 60 |
| % change | | | 90% | 50% | -40% |

Note: The above fees exclude VAT.

- The selection of the audit firm will base on the followings :
 - Reasonable fee.
 - Continuity of the audit firm.
 - Professional.
 - Capability to meet fund’s requirements.

Article 6: Total operating budget for BOR in 2020.

The Voting unanimously agreed Total operating budget for BOR in 2020 as follow:

1. Proposal of BoR budget in 2021:

| No. | Name of cost | Budget in 2020 | Actual in 2020 | % increase |
|-----|--------------|----------------|----------------|------------|
| 1 | Remuneration | 117,000,000 | 68,262,295 | 71.4% |

Remarks: BoR’s remuneration is unchanged:

- BoR’s remuneration is unchanged:
 - Chairman: 4 million dong/ month
 - Member: 2 million dong/ month
 - Secretary: 1 million dong/ month
- The actual cost in 2020 has been calculated since one month after AGM in May 2020;
- The budget in 2021 is calculated for 13 month duration.

2. Activities of Board of representatives:

All meetings of Board of representatives will be in form of tele-conference or via papers hence meeting costs will be very small. Therefore, DCVFM will not propose the budget of meeting costs of BoR and also will not accrue this cost in periodic NAVs of the Fund. Instead, DCVFM will account for this cost in relevant NAV when it actually incurs.

The actual cost regarding meetings of BoR’s in 2021 will be reported to the BoR and the next AGM.

Article 7: Change the name of fund

The Voting unanimously agreed change the name of the fund and authorize DCVFM to carry out all the procedures related to the revocation of the fund’s name in accordance with current law. The changes in the

name of the fund will take effect when The adjusted certificate of registration for establishment of the fund is issued by a competent state agency, specifically the name of the fund to be changed as follows::

| No. | Content | Former Name | New Name | Note |
|-----|-----------------|-----------------------|-------------------------|--------|
| 1 | Vietnamese Name | Quỹ ETF VFMVN DIAMOND | Quỹ ETF DCVFMVN DIAMOND | Change |
| 2 | English Name | VFMVN DIAMOND ETF | DCVFMVN DIAMOND ETF | Change |

Article 8: Amendment, supplement to the Fund Charter

The Voting unanimously agreed all content of Amendment, supplement to the Fund Charter

Article 9: Change Of The Term Of Bor

The Voting unanimously agreed to change the term of the Board of Representatives from three (03) years to (05) years as follows:

| No. | Content | Current term | Term of change | Note |
|-----|--|--------------|----------------|--------|
| 1 | The term of the Board of Representatives | 2020-2023 | 2020-2025 | Change |

Article 10: Effectiveness of the Resolution

The resolution is effective from its signing date.

On behalf of The General Meeting

(signed)

NGUYEN BOI HONG LE

Chairwoman of the BOR

On behalf of Fund Management Company

(signed & sealed)

BEAT SCHURCH

CEO