

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No. 694/ QD-UBCK

Hanoi, on 14 November 2006

DECISION

**on listing of additional fund certificates of Vietnam Securities
Investment Fund on Hochiminh City Securities Trading Centre**

THE CHAIRMAN OF THE STATE SECURITIES COMMITTEE

Pursuant to Governmental Decree No. 144/2003/ND-CP dated 28/11/2003 on Securities and Securities Markets;

Pursuant to Decision No. 161/2004/QD-TTg dated 07/09/2004 of the Prime Minister on functions, duties, powers and organization structure of the State Securities Commission;

Pursuant to Decision No. 73/2004/QD-BTC dated 03/09/2004 of the Minister of Finance promulgating the Regulation on establishment and management of securities investment funds and fund management companies;

Pursuant to Listing License No. 01/UBCK-NYQDT dated 22/09/2004;

Considering the application file for listing of additional fund certificates of Vietnam Securities Investment Fund submitted on 20/09/2006 by Vietnam Securities Investment Fund Management Joint Venture Company;

At the request of the Head of Securities Trading Management Board;

DOES HEREBY DECIDE

Article 1: To approve the **VIETNAM SECURITIES INVESTMENT FUND** to list its additional fund certificates, as follows:

- + Stage 1: listing of 19,733,960 fund certificates
- + Stage 2: listing of 226,040 fund certificates

on Hochiminh City Securities Trading Centre.

Article 2: This Decision shall be an integral part of VF1 Fund Certificate Listing License No. 01/UBCK-NYQDT dated 22/09/2004 of the State Securities Commission and take effect from the date of signature.

Article 5: The Office Chief, the head of Trading Management Department, the Director of Hochiminh City Securities Trading Centre, Vietnam Securities Investment Fund Management Joint Venture Company shall implement this Decision.

CERTIFIED TRUE COPY OF THE ORIGINAL

Cert. No. 62716

Book : 23 TP/CC-SCT/SGT

Date: 03 NOV 2008

Vice Chairman of Ben Nghe Ward People's Committee

(signed & sealed)

Bui Nam Hong

For **CHAIRMAN**

VICE CHAIRMAN

(signed & sealed)

Nguyen Doan Hung

ICA
DM
HA
CH

Tôi, Nguyễn Thiện Hùng, CMND số : 024040770
cấp ngày 20/08/2002 tại TP Hồ Chí Minh,
cam đoan đã dịch chính xác giấy tờ/ văn bản này từ tiếng Việt
sang tiếng Anh .

I, Nguyen Thien Hung, ID Card No. 024040770
date of issue: 20/08/2002, place of issue: HCMC,
hereby assure that this English translation is true and correct
with the Vietnamese document attached hereto.

Ngày / Date: 03/11/2008
Người Dịch – Translator



NGUYỄN THIỆN HÙNG

Chứng thực ông Nguyễn Thiện Hùng, CMND số : 024040770
cấp ngày 20/08/2002 tại TP Hồ Chí Minh, đã ký tên trước mặt tôi

hereby certifies that Mr. Nguyen Thien Hung, ID Card No.
024040770 – date of issue: 20/08/2002, place of issue: HCMC
has signed his name in this document in my presence.

Số chứng thực / Cert. No : 25374

Quyển / Book : 08B SCT/CK

Quận 01, ngày (date): 03/11/2008

Phòng Công Phòng Tư Pháp Quận 1

Deputy Chief Justice Department of District 1



BUI THỊ THANH PHƯƠNG

