

## VOTING NOTE

### VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF FINANCIAL YEAR 2023 – 2<sup>nd</sup> CALL OF DCVFMVN DIAMOND ETF FUND (FUEVFNVD)

#### I. INVESTOR INFORMATION:

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_  
issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

The total number of fund units owned: \_\_\_\_\_

(\*) For foreign individuals and institutional investors. (\*\*) Individual investors only. (\*\*\*) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

#### II. FUND INFORMATION:

- Fund name: DCVFMVN DIAMOND ETF FUND (FUEVFNVD).
- License of Establishment and Issue: No 38/GCN-UBCK granted by the SSC dated 27 February 2020.
- Address: 15<sup>th</sup> Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 14, 2024
- Exercise: 01 fund unit – 01 voting right.

#### III. PURPOSE:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2023 –  
2<sup>nd</sup> call of DCVFMVN DIAMOND ETF FUND (FUEVFNVD).

#### IV. TIME FOR VOTING:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2023 of DCVFMVN DIAMOND ETF FUND (FUEVFNVD).

Time for vote: April 16, 2024, until 5:30PM, May 8, 2024.

Voting notes should be received by Dragon Capital VietFund Management JSC (DCVFM) before **17:30 May 8, 2024**, by 1 in 3 mailing methods mentioned below:

**1. Mail:**

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company  
(DCVFM)

15<sup>th</sup> Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com).

Email subject: **FUEVFNVD\_AGM 2023\_Voting note\_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

#### V. AGM DOCUMENTS & VOTING CONTENT:

All materials & Voting content of the Annual General Meeting Of Financial Year 2023 are disclosed on the website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the Investor Relations – Fund Calendars section.

#### VI. VOTING SECTION:

I/We am/are the investor of DCVFMVN DIAMOND ETF FUND (FUEVFNVD) certify my/our voting below is correct and does not change in any case.

*Please tick (✓) ONE among the three boxes as below.*

**1. Voting on approval of the Fund's performance in 2023.**

Agree

Disagree

No opinion

**2. Voting on approval of the Fund's Audited Financial Statements in 2023.**

Agree

Disagree

No opinion



**3. Voting on approval of 2023 Dividend distribution.**

Agree  Disagree  No opinion

**4. Voting on approval of the Fund's operation plan in 2024.**

Agree  Disagree  No opinion

**5. Voting on approval of the Fund's Auditor selection in 2024.**

Agree  Disagree  No opinion

**6. Voting on approval of the Operation budget for BOR in 2024.**

Agree  Disagree  No opinion

**7. Voting on Fund's Charter amendments.**

**7.1. Supplement to Section - Definitions.**

Agree  Disagree  No opinion

**7.2. Update the information of Securities Depository Centre in this Charter as a whole, specified as follows.**

Agree  Disagree  No opinion

**7.3. Amendment, supplement to Clause 8 Article 10 - Investment restrictions.**

Agree  Disagree  No opinion

**7.4. Amendment, supplement to Clause 10 Article 26. Rights and obligations of the Board of Representatives of the Fund.**

Agree  Disagree  No opinion

**7.5. Amendment, supplement to Point c Clause 3 Article 51. Determination of the Net Asset Value of the Fund.**

Agree  Disagree  No opinion

**7.6. Amendment to Section D Clause 2 Article 52. Method of determination of the Net Asset Value of the Fund**

Agree  Disagree  No opinion

**7.7. Amendment, supplement to Article 55. Service prices and fees payable by the Fund**

Agree  Disagree  No opinion

**7.8. Amendment, supplement to Clause 1 of Article 62. Registration of the Charter**

Agree  Disagree  No opinion

**7.9. Add the company management fund's signature to the Fund Charter**

Agree  Disagree  No opinion

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ON BEHALF OF  
BOARD OF REPRESENTATIVES

NGUYEN BOI HONG LE  
Chairman of BOR

ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT  
JOINT STOCK COMPANY (DCVFM)

LE HOANG ANH  
Hanoi Branch Director cum Acting Director  
Investment Service Department

**INVESTOR<sup>(1)</sup>**

*(Sign for individual investors/ Sign & seal for institutional investors)*

Name of Investor: \_\_\_\_\_

Title: \_\_\_\_\_

Date:



<sup>1</sup> Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.