

VOTING NOTE

**VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2023 – 2nd CALL
DC DIVIDEND FOCUS EQUITY FUND (DCDE)**

(For investors who cannot attend the meeting directly)

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository and Clearing Corporation (VSDC).

ID No (**): _____ dated _____ by _____

Business registration No (***) : _____ dated _____ by _____

Total number of fund units owned: _____

() For foreign individual and insitutional investors.*

*(**) Individual investors only. (***) Institutional investors only.*

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021.*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of DIVIDEND FOCUS EQUITY FUND (DCDE);*
- *Rule of voting of the Annual General Meeting Of Investors Of Financial Year 2023.*

I- Fund information:

- Fund name: DC DIVIDEND FOCUS EQUITY FUND.
- License of Establishment and Issue: No 06/GCN-UBCK granted by the SSC dated 16 December 2013.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 11, 2024.
- Exercise: 01 fund unit – 01 voting right.



II- Purpose: For approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2023 – 2nd call of DC Dividend Focus Equity Fund (DCDE).

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2023 of DC Dividend Focus Equity Fund (DCDE).

Time for vote: from April 12th, 2024 until 17:30pm, May 6th, 2024.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, May 6th, 2024** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department
Dragon Capital VietFund Management Joint Stock Company (DCVFM)
15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **DCDE_AGM 2023_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the Annual Meeting of Investors of Financial Year 2023 are disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of DC Dividend Focus Equity Fund (DCDE) certify my/our voting below is correct and does not change in any case.

Please refer to the content of voting on disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on approval of Fund’s performance in 2023

Agree Disagree No opinion

2. Voting on approval of Fund’s Audited Financial Statements in 2023

Agree Disagree No opinion

3. Voting on approval of 2023 Dividend distribution

Agree Disagree No opinion

4. Voting on approval of Fund’s operation plan in 2024

Agree Disagree No opinion

5. Voting on approval of Fund’s Auditor selection in 2024

Agree Disagree No opinion

6. Voting on approval of Operation budget for BOR in 2024

Agree Disagree No opinion

7. Voting on approval of election of Board of Representatives for the duration of 2024 – 2029

7.1. Mr Dang Thai Nguyen

Agree Disagree No opinion

7.2. Mrs Le Thi Thu Huong

Agree Disagree No opinion

7.3. Mrs Pham Thi Thanh Thuy

Agree Disagree No opinion

7.4. Approval on selection of Mr Dang Thai Nguyen to be the Chairman of the Board of Representatives

Agree Disagree No opinion

8. Voting on approval of Fund's Charter amendments

8.1. Supplement to Section - Definitions

Agree Disagree No opinion

8.2. Amendment, supplement to Article 8 - Custodian Bank

Agree Disagree No opinion

8.3. Amendment, supplement to Clause 4 Article 11 - Investment restrictions

Agree Disagree No opinion

8.4. Amendment, supplement to Clause 4 Article 18. Partial redemption, suspension of trading of open-ended fund transactions

Agree Disagree No opinion

8.5. Amendment, supplement to Clause 10 Article 29. Rights and obligations of members of the Board of Representatives of the Fund

Agree Disagree No opinion

8.6. Amendment, supplement to Point b.(v) Clause 1 Article 35. Rights and obligations of the Fund Management Company

Agree Disagree No opinion

8.7. Amendment to Clause 1 Article 38. Criteria for selecting the Supervisory bank

Agree Disagree No opinion

8.8. Amendment, supplement to Clause 2 Article 55. Valuation of the Net Asset Value

Agree Disagree No opinion

8.9. Amendment, supplement to Clause 2 Article 56. Principles of Valuation method of the Net Asset Value

Agree Disagree No opinion

8.10. Amendment, supplement to Clause 2 Article 63. Service prices and fees payable by the Fund

Agree Disagree No opinion

8.11. Amendment, supplement to Article 64. The fund's expenses

Agree Disagree No opinion

8.12. Amendment, supplement to Clause 1 of Article 71. Registration of the Charter

Agree Disagree No opinion

8.13. Add the company management fund's signature to the Fund Charter

Agree Disagree No opinion

8.14. Supplement to Appendix 2. Commitments of the Custodian Bank

Agree Disagree No opinion

8.15. Supplement to Appendix 3. Joint commitments of the fund management company and the Custodian Bank

Agree Disagree No opinion

On behalf of

BOARD OF REPRESENTATIVES



DANG THAI NGUYEN

Chairman of BOR

On Behalf Of

DRAGON CAPITAL VIETFUND MANAGEMENT JOINT STOCK COMPANY (DCVFM)



LE HOANG ANH

Hanoi Branch Director cum Acting Director

Investment Service Department

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date:

¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.