

VOTING NOTE

VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2023 – 2nd CALL OF DC BOND FUND (DCBF)

I. Investor information:

Full name: _____

Address: _____

Securities Trading Code (STC) ^(*): _____

issued by Vietnam Securities Depository and Clearing Corporation (VSDC).

ID No ^(**): _____ dated _____ by _____

Business registration No ^(***): _____ dated _____ by _____

The total number of fund units owned: _____

() For foreign individuals and institutional investors. (**) Individual investors only. (***) Institutional investors only.*

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

II. Fund information:

- Fund name: DC BOND FUND.
- License of Establishment and Issue: No 04/GCN-UBCK granted by the SSC dated 10 June 2013.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 11, 2024.
- Exercise: 01 fund unit – 01 voting right.

III. Purpose:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2023 – 2nd call of DC Bond Fund (DCBF).

IV. Time for voting:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2023 – 2nd call of DC Bond Fund (DCBF).

Time for vote: April 12, 2024, until 5:30PM, May 13, 2024.

Voting notes should be received by Dragon Capital VietFund Management JSC (DCVFM) before **5:30PM May 13, 2024**, by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department

Dragon Capital VietFund Management Joint Stock Company (DCVFM)

15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: cskh@dragoncapital.com.

Email subject: **DCBF_AGM 2023_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

V. AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting Of Financial Year 2023 are disclosed on the website www.dragoncapital.com.vn under the Investor Relations – Fund Calendars section.

VI. Voting section:

I/We am/are the investor of the DC Bond Fund (DCBF) certify that my/our voting below is correct and does not change in any case.

Please tick (✓) ONE among three boxes as below.

1. Voting on approval of the Fund’s performance in 2023.

Agree Disagree No opinion

2. Voting on approval of the Fund’s Audited Financial Statements in 2023.

Agree Disagree No opinion

3. Voting on approval of 2023 Dividend distribution.

Agree Disagree No opinion

4. Voting on approval of the Fund’s operation plan in 2024.

Agree Disagree No opinion

5. Voting on approval of the Fund’s Auditor selection in 2024.

Agree Disagree No opinion

6. Voting on approval of the Operation budget for BOR in 2024.

Agree Disagree No opinion

7. Voting on approval of the Board of Representatives for the duration of 2024 – 2029

7.1. Mrs Nguyen Boi Hong Le

Agree Disagree No opinion

7.2. Mrs Le Thi Thu Huong

Agree Disagree No opinion

7.3. Mrs Pham Thi Thanh Thuy

Agree Disagree No opinion

7.4. Approval on selection of Mrs Nguyen Boi Hong Le to be the Chairman of the Board of Representatives

Agree Disagree No opinion

8. Voting on Fund's Charter amendments.

8.1. Amendment to Section – Definitions

Agree Disagree No opinion

8.2. Amendment and Supplement to Article 8. Supervisory bank

Agree Disagree No opinion

8.3. Amendment, supplement to Clause 4 Article 11. Investment restrictions

Agree Disagree No opinion

8.4. Amendment, supplement to Clause 2 Article 17. Fund certificate transactions.

Agree Disagree No opinion

8.5. Amendment, supplement to Clause 10 Article 29. Rights and obligations of members of the Board of Representatives.

Agree Disagree No opinion

8.6. Amendment, supplement to Point b.(v) Clause 1 Article 35. Rights and obligations of the Fund Management Company

Agree Disagree No opinion

8.7. Amendment, supplement to Clause 1 Article 38. Standards for selection of monitoring banks.

Agree Disagree No opinion

8.8. Amendment, supplement to Clause 2 Article 55. Determination of the Fund's net asset value

Agree Disagree No opinion

8.9. Amendment, supplement to Clause 2 Article 56. Method of determining the net asset value of the Fund

Agree Disagree No opinion

8.10. Amendment, supplement to Clause 2 Article 63. Types of service prices and fees paid by the Fund

Agree Disagree No opinion

8.11. Amendment, supplement to Article 64. The fund's expenses

Agree Disagree No opinion

8.12. Amendment, supplement to Clause 1 Article 71. Registration of the Charter

Agree Disagree No opinion

8.13. Add the company management fund's signature to the Fund Charter

Agree Disagree No opinion

8.14. Amendment, supplement to Appendix 2: Commitments of the supervisory bank

NG
PH
QUY
N CA
T NA
H

Agree

Disagree

No opinion

8.15. Supplement to Appendix 3: Common commitments by fund management company and supervisory bank

Agree

Disagree

No opinion

**ON BEHALF OF
BOARD OF REPRESENTATIVES**

**NGUYEN BOI HONG LE
Chairman of BOR**

**ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT
JOINT STOCK COMPANY (DCVFM)**



**LE HOANG ANH
Hanoi Branch Director cum Acting Director
Investment Service Department**



INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date:

¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.