

## VOTING NOTE

### VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2023 OF DCVFMVN30 ETF FUND (DCVFMVN30)

#### I. INVESTOR INFORMATION:

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_  
issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

The total number of fund units owned: \_\_\_\_\_

(\* ) For foreign individuals and institutional investors. (\*\*) Individual investors only. (\*\*\*) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

#### II. FUND INFORMATION:

- Fund name: DCVFMVN30 ETF (DCVFMVN30).
- The Establishment Registration Certificate of Public fund No. 14/GCN-UBCK issued by State Securities Commission dated 18th September 2014 and the amended certificates.
- Address: 15<sup>th</sup> Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 15, 2024
- Exercise: 01 fund unit – 01 voting right.

#### III. PURPOSE:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2023 of DCVFMVN30 ETF FUND (DCVFMVN30).

#### IV. TIME FOR VOTING:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2023 of DCVFMVN30 ETF FUND (DCVFMVN30).

Time for vote: April 25, 2024, until 5:30PM, April 22, 2024.

Voting notes should be received by Dragon Capital VietFund Management (DCVFM) before **5:30PM April 22, 2024**, by 1 in 3 mailing methods mentioned below:

**1. Mail:**

Customer Service Department  
Dragon Capital Vietnam Investment Fund Management Joint Stock Company  
(DCVFM)  
15<sup>th</sup> Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com).

Email subject: **E1VFN30\_AGM 2023\_Voting note\_[XXXXXXXXXX]** in which XXXXXXXXX is your fund account number.

**V. AGM DOCUMENTS & VOTING CONTENT:**

All materials & Voting content of the Annual General Meeting Of Financial Year 2023 are disclosed on the website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the Investor Relations – Fund Calendars section.

**VI. VOTING SECTION:**

I/We am/are the investor of ETF DCVFMVN30 ETF (DCVFMVN30) certify my/our voting below is correct and does not change in any case.

*Please tick (✓) ONE among three boxes as below.*

**1. Voting on approval of the Fund's performance in 2023**

Agree  Disagree  No opinion

**2. Voting on approval of the Fund's Audited Financial Statements in 2023**

Agree  Disagree  No opinion

**3. Voting on approval of 2023 Dividend distribution**

Agree  Disagree  No opinion

**4. Voting on approval of the Fund's operation plan in 2024**

Agree  Disagree  No opinion

**5. Voting on approval of the Fund's Auditor selection in 2024**

Agree  Disagree  No opinion



**6. Voting on approval of the Operation budget for BOR in 2024**

Agree  Disagree  No opinion

**7. Voting on Fund's Charter amendments**

**7.1. Supplement to Section - Definitions**

Agree  Disagree  No opinion

**7.2. Update the information of Securities Depository Centre in this Charter as a whole**

Agree  Disagree  No opinion

**7.3. Amendment, supplement to Article 7 - Custodian Bank**

Agree  Disagree  No opinion

**7.4. Amendment, supplement to Clause 8 Article 10 - Investment restrictions**

Agree  Disagree  No opinion

**7.5. Amendment, supplement to Clause 10 Article 26. Rights and obligations of the Board of Representatives of the Fund**

Agree  Disagree  No opinion

**7.6. Amendment, supplement to Clause 1 Article 35. Criteria for selection of the Custodian Bank**

Agree  Disagree  No opinion

**7.7. Amendment, supplement to Point c Clause 3 Article 51. Determination of the Net Asset Value of the Fund**

Agree  Disagree  No opinion

**7.8. Amendment to Section D Clause 2 Article 52. Method of determination of the Net Asset Value of the Fund**

Agree  Disagree  No opinion

**7.9. Amendment, supplement to Article 55. Service prices and fees payable by the Fund**

Agree  Disagree  No opinion

**7.10. Amendment, supplement to Clause 1 of Article 62. Registration of the Charter**

Agree  Disagree  No opinion

**7.11. Add the company management fund's signature to the Fund Charter**

Agree  Disagree  No opinion

**7.12. Supplement to Appendix 2. Commitments of the Custodian Bank**

Agree  Disagree  No opinion

**7.13. Supplement to Appendix 3. Joint commitments of the fund management company and the Custodian Bank**

Agree  Disagree  No opinion



ON BEHALF OF  
BOARD OF REPRESENTATIVES



NGUYEN BOI HONG LE  
Chairman of BOR

ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT JOINT  
STOCK COMPANY (DCVFM)



LE HOANG ANH  
Hanoi Branch Director cum Acting Director  
Investment Service Department

**INVESTOR<sup>(1)</sup>**

*(Sign for individual investors/ Sign & seal for institutional investors)*

Name of Investor: \_\_\_\_\_

Title: \_\_\_\_\_

Date:



<sup>1</sup> Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.