

## VOTING NOTE

### VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2023 OF DC INCOME PLUS BOND FUND (DCIP)

#### I. Investor information:

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_  
issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

The total number of fund units owned: \_\_\_\_\_

(\* ) For foreign individuals and institutional investors. (\*\* ) Individual investors only. (\*\*\*) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

#### II. Fund information:

- Fund name: DC INCOME PLUS BOND FUND.
- License of Establishment and Issue: No 36/GCN-UBCK granted by the SSC dated 03 April 2019.
- Address: 15<sup>th</sup> Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 11, 2024.
- Exercise: 01 fund unit – 01 voting right.

#### III. Purpose:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2023 of DC Income Plus Bond Fund (DCIP).

#### IV. Time for voting:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2023 of DC Income Plus Bond Fund (DCIP).

Time for vote: March 20, 2024, until 5:30PM, April 9, 2024.

Voting notes should be received by Dragon Capital VietFund Management JSC (DCVFM) before **5:30PM April 9, 2024**, by 1 in 3 mailing methods mentioned below.



**1. Mail:**

Customer Service Department  
Dragon Capital VietFund Management Joint Stock Company (DCVFM)  
15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com).

Email subject: **DCIP\_AGM 2023\_Voting note\_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

**V. AGM documents & Voting content:**

All materials & Voting content of the Annual General Meeting Of Financial Year 2023 are disclosed on the website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the Investor Relations – Fund Calendars section.

**VI. Voting section:**

I/We am/are the investor of the DC Income Plus Bond Fund (DCIP) certify that my/our voting below is correct and does not change in any case.

*Please tick (✓) ONE among three boxes as below.*

**1. Voting on approval of the Fund’s performance in 2023.**

Agree  Disagree  No opinion

**2. Voting on approval of the Fund’s Audited Financial Statements in 2023.**

Agree  Disagree  No opinion

**3. Voting on approval of 2023 Dividend distribution.**

Agree  Disagree  No opinion

**4. Voting on approval of the Fund’s operation plan in 2024.**

Agree  Disagree  No opinion

**5. Voting on approval of the Fund’s Auditor selection in 2024.**

Agree  Disagree  No opinion

**6. Voting on approval of the Operation budget for BOR in 2024.**

Agree  Disagree  No opinion

**7. Voting on approval of the Board of Representatives for the duration of 2024 – 2029**

Agree  Disagree  No opinion

**8. Voting on Fund’s Charter amendments.**

**8.1. Amendment to Section – Definitions.**

Agree  Disagree  No opinion

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- 8.2. **Amendment and Supplement to Article 8. Supervisory bank**  
 Agree  Disagree  No opinion
- 8.3. **Amendment, supplement to Clause 4 Article 11. Investment restrictions**  
 Agree  Disagree  No opinion
- 8.4. **Amendment, supplement Clause 4 Article 18. Partial redemption, suspension of trading of open-ended fund transactions.**  
 Agree  Disagree  No opinion
- 8.5. **Amendment, supplement to Clause 10 Article 29. Rights and obligations of members of the Board of Representatives.**  
 Agree  Disagree  No opinion
- 8.6. **Amendment, supplement to Point b.(vii) Clause 1 Article 35. Rights and obligations of the Fund Management Company**  
 Agree  Disagree  No opinion
- 8.7. **Amendment, supplement to Clause 1 Article 38. Standards for selection of monitoring banks.**  
 Agree  Disagree  No opinion
- 8.8. **Amendment, supplement to Clause 2 Article 55. Determination of the Fund's net asset value**  
 Agree  Disagree  No opinion
- 8.9. **Amendment, supplement to Clause 2 Article 56. Method of determining the net asset value of the Fund**  
 Agree  Disagree  No opinion
- 8.10. **Amendment, supplement to Clause 1 Article 57. Procedures of valuating the fund's assets**  
 Agree  Disagree  No opinion
- 8.11. **Amendment, supplement to Clause 2 Article 63. Types of service prices and fees paid by the Fund**  
 Agree  Disagree  No opinion
- 8.12. **Amendment, supplement to Article 64. The fund's expenses**  
 Agree  Disagree  No opinion
- 8.13. **Amendment, supplement to Clause 1 Article 71. Registration of the Charter**  
 Agree  Disagree  No opinion
- 8.14. **Add the company management fund's signature to the Fund Charter**  
 Agree  Disagree  No opinion
- 8.15. **Amendment, supplement to Appendix 2: Commitments of the supervisory bank**  
 Agree  Disagree  No opinion
- 8.16. **Supplement to Appendix 3: Common commitments by fund management company and supervisory bank**  
 Agree  Disagree  No opinion



ON BEHALF OF  
BOARD OF REPRESENTATIVES



NGUYEN BOI HONG LE  
Chairman of BOR

ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT  
JOINT STOCK COMPANY (DCVFM)



LE HOANG ANH  
Hanoi Branch Director cum Acting Director  
Investment Service Department

**INVESTOR<sup>(1)</sup>**

*(Sign for individual investors/ Sign & seal for institutional investors)*

Name of Investor: \_\_\_\_\_

Title: \_\_\_\_\_

Date:

<sup>1</sup> Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.