



**PROPOSED CHANGE OF THE FUND NAME
& PROPOSED CHARTER AMENDMENT
THE FIRST EXTRAORDINARY GENERAL MEETING OF INVESTOR– THE FINANCIAL
YEAR OF 2023
DC BLUE CHIPS FUND (DCBC) – THE FIRST CALL**

To: The General Meeting of Investors.

Dragon Capital Vietfund Management Joint Sotck Company (DCVFM) propose The General Meeting of Investors of DC Blue Chip Fund (DCBC) approve to change of name of fund, change of fund's investment objectives and investment strategy specified in the fund's charter, content as follow:

1. The change of name of the fund, as the following:

	Fund name before the change (the old name)	The changed name of the fund (the new name)
Vietnamese name	Quỹ Đầu tư Doanh nghiệp Hàng đầu DC	<u>Quỹ Đầu tư Cổ phiếu Tập trung Cổ tức DC</u>
English name	DC BLUE CHIP FUND	<u>DC Dividend Focus Equity Fund</u>
In short name	DCBC	<u>DCDE</u>

Any change of the fund name shall be taken effect since the amended Registration certificate of establishment of the fund is issued by the authority.

2. Amendment and supplementation of Charter of DCBC:

2.1. Update the changed name of the fund (the new name) in the entire Fund charter, as the following:

	Fund name before the change (the old name)	The changed name of the fund (the new name)
Vietnamese name	Quỹ Đầu tư Doanh nghiệp Hàng đầu DC	<u>Quỹ Đầu tư Cổ phiếu Tập trung Cổ tức DC</u>
English name	DC BLUE CHIP FUND	<u>DC Dividend Focus Equity Fund</u>
In short name	DCBC	<u>DCDE</u>

The new name of the fund (The new name) shall be updated in the entire Fund charter, except for Article 71 – Registration of the Charter: only update the change of the fund name (the new name) according to the 1st Extraordinary general meeting of the financial year of 2023. Any change of the fund name shall be taken effect since the amended Registration certificate of establishment of the fund is issued by the authority.

2.2. Amend Article 1 – Name and contact details: Amend the name of the Fund

“ARTICLE 1. Name and Contact details

Vietnamese name : ~~Quỹ đầu tư Doanh nghiệp Hàng đầu DC (DCBC)~~ Quỹ Đầu Tư Cổ Phiếu Tập Trung Cổ Tức DC

English name : ~~DC Blue chip Fund.~~ DC Dividend Focus Equity Fund

Abbreviation : ~~DCBC~~ DCDE

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2.3. Amend Article 9 – Investment objectives: *Change the objectives according to the new name of the fund*

"ARTICLE 9. Investment objectives

The objective of **DCBC DCDE** is to seek long-term returns from the investment in fixed-income and/or dividend payable securities in accordance with the provisions of the Vietnamese legal system, the prospectus and the charter of DCDE Fund equity growth and income through investing into a diversified portfolio, including equity, convertible and debt securities. Investment enterprises are the leading enterprises that have been and will be listed on the stock market."

2.4. Amend Article 10 – Investment Strategy: *Change the strategy according to the new name of the fund*

"ARTICLE 10. Investment Strategy

DCBC DCDE's investment strategy is actively invest in stocks of companies with a history of regular dividend payments in the past and/or businesses that plan to pay dividends in the near future in all industries and geographical areas permitted by the law on the management of open-ended fund activities. The Fund will prioritize the selection of businesses with annual growth dividend payments to the portfolio. Under normal market conditions, the fund will invest 100% of its assets in shares of the above-mentioned companies Vietnamese securities across the style spectrum from value to growth. The Fund's target investments will be top-ranking enterprises in term of market capitalization, total asset, liquidity in the market, total equitiy, market share, operating efficiency, and other fundamental indications...belonging to essential sectors. Those target securities are listed or will be listed on stock markets.

1. Investment Structure

DCBC DCDE shall be allowed to invest in the following assets:

- a) Deposits at commercial bank as stipulated by banking laws;
- b) Money market instruments including valuable papers and negotiable instruments in accordance with relevant laws and regulations;
- c) Government debt instruments, bonds underwritten by the Government and municipal bonds;
- d) Listed shares, registered shares, ~~and listed bonds on stock exchanges~~, public fund certificates.
- e) Shares offered for the first time to the public, ~~bonds offered to the public; Corporate bonds privately issued by listed organizations with credit institutions' payment guarantee or the issuer's commitment to repurchase at least once in 12 months and each commitment to redeem is at least 30% value of the issue.~~ The investment in this kind of assets shall be followed the following conditions:
 - All the securities shall be approved by the Board of Representatives by documents about type, securities ticker, quantity, trading value and timing;
 - There are sufficient documents to prove the payment guarantee or documents on the issuer's commitment to buy back
- f) Listed and registered derivatives at Stock Exchanges, and solely used for hedging purpose and for the underlying securities in which the fund is holding;
- g) Rights and assets that may arise in connexion with securities that the fund is holding.
- h) Other assets as permitted by applicable open-ended fund management laws.**

2. Investment areas:

The Fund is allowed to invest in all industries that are permitted by current open-ended fund management laws.

~~With the above-mentioned investment strategies, the Fund's asset allocation, from time to time, would change in accordance to stocks' growth potential and market liquidity.~~



The following sectors are DCBC's investable sectors. Changes in asset allocation by sectors will base on market liquidity and comply with investment' constraints detailing in the investment's constraints. The investable sectors include (unlimited):

• Food & Beverages
• Consumer goods
• Retailing
• Materials & Resources
• Energy
• Banking - Diversified Financials
• Real estate
• Capital goods
• Utilities
• Insurances
• Consumers & durable goods
• Transportation
• Pharmaceuticals, Biotechnology & Life Sciences
• Commercial & Professional Services
• Automobiles & Components
• Consumer Services
• Media
• Household & Personal Products
• Health Care Equipment & Services
• Software & Services
• Technology Hardware & Equipment
• Semiconductors & Semiconductor Equipment
• Telecommunication Services



2.5. Amendment and supplementation of Clause 1 Article 71. Registration of the Charter: *Update the registration of the charter*

"ARTICLE 71. Registration of the Charter

1. ...

DCDE Charter is amended and supplemented at the 13th including 16 Chapters, 72 Articles and 3 Appendixes according to Resolution of the 1st of Extraordinary General Meeting of Investors of financial year 2023- convened the time, dated on/.../2023 and takes effect from/.../2023. The changes in the name of the fund will take effect as soon as the adjusted registration certificate of establishment of the fund is issued by the authority."

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