

## VOTING NOTE

### VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2022 DC DYNAMIC SECURITIES FUND (DCDS) (2<sup>nd</sup> call)

#### I. Investor information:

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_  
issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

The total number of fund units owned: \_\_\_\_\_

(\* ) For foreign individuals and institutional investors. (\*\* ) Individual investors only. (\*\*\*) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

#### II. Fund information:

- Fund name: DC DYNAMIC SECURITIES FUND.
- License of Establishment and Issue: No 05/GCN-UBCK granted by the SSC dated 08 October 2013.
- Address: 15<sup>th</sup> Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 27, 2023.
- Exercise: 01 fund unit – 01 voting right.

#### III. Purpose:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2022 of DC DYNAMIC SECURITIES FUND (DCDS).

#### IV. Time for voting:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2022 of DC DYNAMIC SECURITIES FUND (DCDS).

Time for vote: to be confirmed.



Voting notes should be received by Dragon Capital VietFund Management (DCVFM) before **17:30 April 25, 2023**, by 1 in 3 mailing methods mentioned below.

**1. Mail:**

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

15<sup>th</sup> Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com).

Email subject: **DCDS\_AGM 2022\_Voting note\_[XXXXXXXXXX]** in which XXXXXXXXXXXX is your fund account number.

**V. AGM documents & Voting content:**

All materials & Voting content of the Annual General Meeting Of Financial Year 2022 are disclosed on the website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the Investor Relations – Fund Calendars section.

**VI. Voting section:**

I/We am/are the investor of the DC DYNAMIC SECURITIES FUND (DCDS) certify that my/our voting below is correct and does not change in any case.

*Please tick (✓) ONE among three boxes as below.*

**1. Voting on approval of the Fund's performance in 2022.**

Agree  Disagree  No opinion

**2. Voting on approval of the Fund's Audited Financial Statements in 2022.**

Agree  Disagree  No opinion

**3. Voting on approval of 2022 Dividend distribution.**

Agree  Disagree  No opinion

**4. Voting on approval of the Fund's operation plan in 2023.**

Agree  Disagree  No opinion

**5. Voting on approval of the Fund's Auditor selection in 2023.**

Agree  Disagree  No opinion

**6. Voting on approval of the Operation budget for BOR in 2023.**

Agree  Disagree  No opinion



Agree  Disagree  No opinion

**7. Voting on Fund's Charter amendments.**

**7.1. Amendments to Section – Definitions.**

Agree  Disagree  No opinion

**7.2. Amendments to and Supplement to Article 8. Supervisory bank**

Agree  Disagree  No opinion

**7.3. Amendments, supplement Clause 3 Article 23. Rights and obligations of the investor's Congress**

Agree  Disagree  No opinion

**7.4. Amendment, supplement point a Clause 5 Article 25. Decisions of the investors' Congress.**

Agree  Disagree  No opinion

**7.5. Amendment, supplement to Clause 1 Article 26. Objection to decisions of investors' Congress.**

Agree  Disagree  No opinion

**7.6. Amendments, supplement Clause 9 Article 29. Rights and obligations of members of the Board of Representatives of the fund**

Agree  Disagree  No opinion

**7.7. Amendments, supplement Clause 2 Article 56. Method of determining the net asset value of the Fund**

Agree  Disagree  No opinion

**7.8. Amendments supplement Article 63. Types of service prices and fees paid by the Fund**

Agree  Disagree  No opinion

**7.9. Amendments supplement to Clause 1 Article 71. Registration of the Charter**

Agree  Disagree  No opinion

**7.10. Amendments supplement to Appendix 1: Commitments of the fund management company**

Agree  Disagree  No opinion

**7.11. Amendments, supplement to Appendix 2: Commitments of the supervisory bank**

Agree  Disagree  No opinion

**7.12. Amendments to Appendix 3: Common commitments by the fund management company and supervisory bank**

Agree  Disagree  No opinion

**8. Voting on approval of dismissal Chairman of the Board.**


Agree  Disagree  No opinion

**9. Voting on approval of approval Chairman of the Board.**

Agree  Disagree  No opinion

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ON BEHALF OF  
BOARD OF REPRESENTATIVES



**LUU DUC KHANH**  
Chairman of BOR

ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT



The seal is circular with a red border. Inside the border, the text reads: 'S.G.P: 45 - C.T.C.P.' at the top, 'CÔNG TY CỐ PHẦN' in the center, 'QUẢN LÝ QUỸ ĐẦU TƯ' below that, 'DRAGON CAPITAL' in a larger font, and 'VIỆT NAM' at the bottom. The seal is partially overlaid by a blue handwritten signature.

**NGUYEN MINH DANG KHANH**  
COO

**INVESTOR<sup>(1)</sup>**

*(Sign for individual investors/ Sign & seal for institutional investors)*

Name of Investor: \_\_\_\_\_

Title: \_\_\_\_\_

Date:

<sup>1</sup> Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.

