



VOTING NOTE

VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2022 DC DYNAMIC SECURITIES FUND (DCDS) - 2nd CALL

I. Investor information:

Full name: _____

Address: _____

Securities Trading Code (STC) ^(*): _____
issued by Vietnam Securities Depository (VSD).

ID No ^(**): _____ dated _____ by _____

Business registration No ^(***): _____ dated _____ by _____

The total number of fund units owned: _____

() For foreign individuals and institutional investors. (**) Individual investors only. (***) Institutional investors only.*

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

II. Fund information:

- Fund name: DC DYNAMIC SECURITIES FUND.
- License of Establishment and Issue: No 05/GCN-UBCK granted by the SSC dated 08 October 2013.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 27, 2023.
- Exercise: 01 fund unit – 01 voting right.

III. Purpose:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2022 – 2nd Call of DC DYNAMIC SECURITIES FUND (DCDS).

IV. Time for voting:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2022– 2nd Call of DC DYNAMIC SECURITIES FUND (DCDS).

Time for vote: April 28, 2023, until 17:30, May 21, 2023.

Voting notes should be received by Dragon Capital VietFund Management (DCVFM) before **17:30 May 21, 2023**, by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department
Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)
15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:



Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: cskh@dragoncapital.com.

Email subject: **DCDS_AGM 2022_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXXXX is your fund account number.

V. AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting - 2nd Call Of Financial Year 2022 are disclosed on the website www.dragoncapital.com.vn under the Investor Relations – Fund Calendars section.

VI. Voting section:

I/We am/are the investor of the DC DYNAMIC SECURITIES FUND (DCDS) certify that my/our voting below is correct and does not change in any case.

Please tick (✓) ONE among three boxes as below.

1. Voting on approval of the Fund's performance in 2022.

Agree Disagree No opinion

2. Voting on approval of the Fund's Audited Financial Statements in 2022.

Agree Disagree No opinion

3. Voting on approval of 2022 Dividend distribution.

Agree Disagree No opinion

4. Voting on approval of the Fund's operation plan in 2023.

Agree Disagree No opinion

5. Voting on approval of the Fund's Auditor selection in 2023.

Agree Disagree No opinion

6. Voting on approval of the Operation budget for BOR in 2023.

Agree Disagree No opinion

7. Voting on Fund's Charter amendments.

7.1. Amendments to Section – Definitions.

Agree Disagree No opinion

7.2. Amendments to and Supplement to Article 2. Objectives of the Fund.

Agree Disagree No opinion

7.3. Amendments, supplement to Article 8. Supervisory bank.

Agree Disagree No opinion

7.4. Amendment, supplement to Article 9. Investment objectives.

Agree Disagree No opinion

7.5. Amendment, supplement to Article 10. Investment Strategy.


Agree Disagree No opinion

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- 7.6. **Amendments, supplement to Clause 4 Article 11. Investment restrictions.**
 Agree Disagree No opinion
- 7.7. **Amendments, supplement to Article 13. Investment restrictions.**
 Agree Disagree No opinion
- 7.8. **Amendments supplement to point a Clause 5 Article 25. Decisions of the general investors meeting.**
 Agree Disagree No opinion
- 7.9. **Amendments supplement to Clause 1 Article 26. Objection to decisions of Investors' General meeting.**
 Agree Disagree No opinion
- 7.10. **Amendments supplement to Clause 9 Article 29. Rights and obligations of members of the Board of representatives of the Fund.**
 Agree Disagree No opinion
- 7.11. **Amendments, supplement to Clause 2 Article 56. Principles of Valuation method of the Net Asset Value.**
 Agree Disagree No opinion
- 7.12. **Amendments, supplement to Clause 4 Article 63. Types of service prices and fees paid by the Fund.**
 Agree Disagree No opinion
- 7.13. **Amendment, supplement to Clause 19 Article 64. The Fund's expenses.**
 Agree Disagree No opinion
- 7.14. **Amendment, supplement to Clause 1 Article 71. Registration of the Charter.**
 Agree Disagree No opinion
- 7.15. **Amendment, supplement to Appendix 1: Commitments of the fund management company.**
 Agree Disagree No opinion
- 7.16. **Amendment, supplement to Appendix 2: Commitments of the supervisory bank.**
 Agree Disagree No opinion
- 7.17. **Supplement to Appendix 3: Common commitments by fund management company and supervisory bank.**
 Agree Disagree No opinion
8. **Voting on approval of dismissal Chairman of the Board.**
 Agree Disagree No opinion
9. **Voting on approval of approval Chairman of the Board.**
 Agree Disagree No opinion

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ON BEHALF OF
BOARD OF REPRESENTATIVES



LUU DUC KHANH
Chairman of BOR

ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYEN MINH DANG KHANH
COO

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date:

¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.

