



VOTING NOTE

VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2022 ETF DCVFMVNMIDCAP (FUEDCMID)

I. Investor information:

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

The total number of fund units owned: _____

(*) For foreign individuals and institutional investors. (**) Individual investors only. (***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

II. Fund information:

- Fund name: ETF DCVFMVNMIDCAP (FUEDCMID).
- The Establishment Registration Certificate of Public fund No.40/GCN-UBCK issued by State Securities Commission dated 23rd August 2022.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 08, 2023
- Exercise: 01 fund unit – 01 voting right.

III. Purpose:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2022 of ETF DCVFMVNMIDCAP (FUEDCMID).

IV. Time for voting:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2022 of ETF DCVFMVNMIDCAP (FUEDCMID).

Time for vote: April 17, 2023, until 17:30, April 11, 2023.

Voting notes should be received by Dragon Capital VietFund Management (DCVFM) before **17:30 April 11, 2023**, by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department



Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)
15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors), and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan, and send to the E-mail address: cskh@dragoncapital.com.

Email subject: **FUEDCMID_AGM 2022_Voting note_[XXXXXXXXXX]** in which XXXXXXXXX is your fund account number.

V. AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting Of Financial Year 2022 are disclosed on the website www.dragoncapital.com.vn under the Investor Relations – Fund Calendars section.

VI. Voting section:

I/We am/are the investor of ETF DCVFMVNMIDCAP (FUEDCMID) certify my/our voting below is correct and does not change in any case.

Please tick (✓) ONE among three boxes as below.

1. Voting on approval of the Fund's performance in 2022.

Agree Disagree No opinion

2. Voting on approval of the Fund's Audited Financial Statements in 2022.

Agree Disagree No opinion

3. Voting on approval of 2022 Dividend distribution.

Agree Disagree No opinion

4. Voting on approval of the Fund's operation plan in 2023.

Agree Disagree No opinion

5. Voting on approval of the Fund's Auditor selection in 2023.

Agree Disagree No opinion

6. Voting on approval of the Operation budget for BOR in 2023.

Agree Disagree No opinion

7. Voting on Fund's Charter amendments.

7.1. Supplement to Section 1 – Legal Bases.

Agree Disagree No opinion

7.2. Supplement to Section 2 – Definitions

Agree Disagree No opinion

7.3. Amendments, supplement Clause 6 Article 4 - Total mobilized capital and quantity of DCVFMVNMIDCAP ETF Certificates in the initial offering

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- Agree Disagree No opinion
- 7.4. Amendment, supplement to Article 7 - Custodian Bank.**
 Agree Disagree No opinion
- 7.5. Amendment, supplement Clause 3 Article 9 - Investment strategies.**
 Agree Disagree No opinion
- 7.6. Amendments, supplement to Article 10. Investment restrictions**
 Agree Disagree No opinion
- 7.7. Amendments, supplement to Clause 1 Article 13. Rights and obligations of Investors**
 Agree Disagree No opinion
- 7.8. Amendments supplement to Article 15. Register of Investors**
 Agree Disagree No opinion
- 7.9. Amendments supplement to Article 16. Exchange trading of Component Securities Basket for DCVFMVNMIDCAP ETF Creation Units and vice versa (primary trading)**
 Agree Disagree No opinion
- 7.10. Amendments supplement to Article 20. The General Meeting of Investors**
 Agree Disagree No opinion
- 7.11. Amendments, supplement to Clause 6 Article 21. Rights and duties of the General Meeting of Investors**
 Agree Disagree No opinion
- 7.12. Amendments to Clause 3 Article 22. Conditions and procedures for conducting meetings of the General Meeting of Investors**
 Agree Disagree No opinion
- 7.13. Amendments supplement to Article 23. Passing of decisions by the General Meeting of Investors**
 Agree Disagree No opinion
- 7.14. Amendments, supplement to Article 24. The Board of Representatives of the Fund**
 Agree Disagree No opinion
- 7.15. Amendments, supplement to Clause 9, Clause 21, Clause 22- Article 26. Rights and obligations of the Board of Representatives of the Fund**
 Agree Disagree No opinion
- 7.16. Amendments, supplement to Point d Clause 2 Article 27. Chairperson of the Board of Representatives of the Fund**
 Agree Disagree No opinion
- 7.17. Amendments Article 30. Minutes of meetings of the Board of Representatives of the Fund**
 Agree Disagree No opinion
- 7.18. Amendments, supplement to Article 32. Rights and obligations of The fund management Company**
 Agree Disagree No opinion
- 7.19. Amendments, supplement to Clause 3 Article 33. Termination of rights and obligations of the Fund Management Company with respect to the Fund**

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- Agree Disagree No opinion
- 7.20. Amendments, supplement to Article 34. Restriction of activities of the Fund Management**
- Agree Disagree No opinion
- 7.21. Amendments, supplement to Clause 2 and Clause 3 Article 35. Criteria for selection of the Custodian Bank**
- Agree Disagree No opinion
- 7.22. Amendments supplement to Article 36. Rights, obligations and activities of the Custodian Bank**
- Agree Disagree No opinion
- 7.23. Amendments, supplement to Article 37. Termination of rights and obligations of the Custodian Bank towards the Fund**
- Agree Disagree No opinion
- 7.24. Amendments, supplement to Clause 2 Article 38. Authorized activities**
- Agree Disagree No opinion
- 7.25. Amendments, supplement to Point b Clause 2 Article 40. Responsibilities of Relevant Service Providers**
- Agree Disagree No opinion
- 7.26. Amendments, supplement to Article 51. Determination of the Net Asset Value of the Fund**
- Agree Disagree No opinion
- 7.27. Amendments, supplement to Article 52. Method of determination of the Net Asset Value of the Fund**
- Agree Disagree No opinion
- 7.28. Amendments, supplement to Article 53. Income of the Fund**
- Agree Disagree No opinion
- 7.29. Amendments, supplement to Article 55. Service prices and fees payable by the Fund**
- Agree Disagree No opinion
- 7.30. Amendments, supplement to Article 56. Expenses of the Fund**
- Agree Disagree No opinion
- 7.31. Amendments supplement to Article 62. Registration of the Charter**
- Agree Disagree No opinion
- 7.32. Supplement to Appendix 2. Commitments of the Custodian Bank**
- Agree Disagree No opinion
- 7.33. Supplement to Appendix 2. Commitments of the Custodian Bank**
- Agree Disagree No opinion
- 7.34. Supplement to Appendix 3. Joint commitments of the fund management company and the Custodian Bank**
- Agree Disagree No opinion

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ON BEHALF OF
BOARD OF REPRESENTATIVES

ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYEN BOI HONG LE
Chairman of BOR



NGUYEN MINH DANG KHANH
COO

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date:



¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.