



VOTING NOTE

VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF THE FINANCIAL YEAR 2022 ETF DCVFMVN30 ETF (DCVFMVN30)

I. Investor information:

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

The total number of fund units owned: _____

(*) For foreign individuals and institutional investors. (**) Individual investors only. (***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

II. Fund information:

- Fund name: DCVFMVN30 ETF (DCVFMVN30).
- The Establishment Registration Certificate of Public fund No. 14/GCN-UBCK issued by State Securities Commission dated 18th September 2014 and the amended certificates
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 08, 2023
- Exercise: 01 fund unit – 01 voting right.

III. Purpose:

For the approval of voting issues of the Annual General Meeting Of Financial Year 2022 of ETF DCVFMVN30 ETF (DCVFMVN30).

IV. Time for voting:

Investors shall fill in the blanks and tick (✓) on an appropriate box to approve voting issues of the Annual General Meeting Of Financial Year 2022 of ETF DCVFMVN30 ETF (DCVFMVN30).

Time for vote: April 17, 2023, until 17:30, April 16, 2023.

Voting notes should be received by Dragon Capital VietFund Management (DCVFM) before **17:30 April 16, 2023**, by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department



Dragon Capital Vietnam Investment Fund Management Joint Stock Company
(DCVFM)
15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City,
Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors), and fax to
(84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan, and
send to the E-mail address: cskh@dragoncapital.com.

Email subject: **E1VFN30_AGM 2022_Voting note_[XXXXXXXXXX]** in which
XXXXXXXXXX is your fund account number.

V. AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting Of Financial Year
2022 are disclosed on the website www.dragoncapital.com.vn under the Investor
Relations – Fund Calendars section.

VI. Voting section:

I/We am/are the investor of ETF DCVFMVN30 ETF (DCVFMVN30) certify
my/our voting below is correct and does not change in any case.

Please tick (✓) ONE among three boxes as below.

1. Voting on approval of the Fund's performance in 2022.

☐ Agree ☐ Disagree ☐ No opinion

2. Voting on approval of the Fund's Audited Financial Statements in 2022.

☐ Agree ☐ Disagree ☐ No opinion

3. Voting on approval of 2022 Dividend distribution.

☐ Agree ☐ Disagree ☐ No opinion

4. Voting on approval of the Fund's operation plan in 2023.

☐ Agree ☐ Disagree ☐ No opinion

5. Voting on approval of the Fund's Auditor selection in 2023.

☐ Agree ☐ Disagree ☐ No opinion

6. Voting on approval of the Operation budget for BOR in 2023.

☐ Agree ☐ Disagree ☐ No opinion

7. Voting on Fund's Charter amendments.

7.1. Amendment to Section – Definitions.

☐ Agree ☐ Disagree ☐ No opinion

7.2. Amendment and Supplement to Article 2. Operation term of the Fund

☐ Agree ☐ Disagree ☐ No opinion

7.3. Amendment and Supplement to Article 7. Supervisory bank

☐ Agree ☐ Disagree ☐ No opinion

7.4. Amendment and Supplement to Article 8. Investment objectives

☐ Agree ☐ Disagree ☐ No opinion

7.5. Amendment, supplement Clause 3 Article 9 - Investment strategies.

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- ☐ Agree ☐ Disagree ☐ No opinion
- 7.6. **Amendment and Supplement to Clause 6 of Article 10. Investment restrictions**
☐ Agree ☐ Disagree ☐ No opinion
- 7.7. **Amendment and Supplement to Article 11. Method of investment selection**
☐ Agree ☐ Disagree ☐ No opinion
- 7.8. **Amendment and Supplement to Clause 5 and Clause 6 of Article 16. Creation of Creation Units (Primary trading)**
☐ Agree ☐ Disagree ☐ No opinion
- 7.9. **Amendment and Supplement to Clause 5 of Article 21. Rights and tasks of the General Meeting of Investors**
☐ Agree ☐ Disagree ☐ No opinion
- 7.10. **Amendment and Supplement to Clause 5(a) and Clause 9(a) of Article 23. Approval of any decision of the Investor's General Meeting**
☐ Agree ☐ Disagree ☐ No opinion
- 7.11. **Amendment and Supplement to Clause 9 of Article 26. Rights and obligations of the Board of Representatives**
☐ Agree ☐ Disagree ☐ No opinion
- 7.12. **Amendment and Supplement to Clause 1.c.(ii) of Article 32. Rights and obligations of the Fund Management Company**
☐ Agree ☐ Disagree ☐ No opinion
- 7.13. **Amendment and Supplement to Clause 5 of Article 51. Determination of net asset values of the Fund**
☐ Agree ☐ Disagree ☐ No opinion
- 7.14. **Amendment and Supplement to Item D of Article 52. Methods of determining the net asset value of the fund**
☐ Agree ☐ Disagree ☐ No opinion
- 7.15. **Amendment and Supplement to Clause 4 Article 55. Prices of services, Fees paid by the fund.**
☐ Agree ☐ Disagree ☐ No opinion
- 7.16. **Amendment and Supplement to Clause 2 Article 56: The fund's expenses**
☐ Agree ☐ Disagree ☐ No opinion
- 7.17. **Amendment and Supplement to Article 62. Registration of the Fund Charter**
☐ Agree ☐ Disagree ☐ No opinion
- 7.18. **Amendment, supplement to Appendix 1: Commitments of the fund management company**
☐ Agree ☐ Disagree ☐ No opinion
- 7.19. **Amendment, supplement to Appendix 2: Commitments of the supervisory bank**
☐ Agree ☐ Disagree ☐ No opinion
- 7.20. **Supplement to Appendix 3: Common commitments by fund management company and supervisory bank**
☐ Agree ☐ Disagree ☐ No opinion

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ON BEHALF OF
BOARD OF REPRESENTATIVES

ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYEN BOI HONG LE
Chairman of BOR



NGUYEN MINH DANG KHANH
COO

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date: _____



¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.