



VOTING NOTE

VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2022

DC BOND FUND (DCBF)

(For investors who cannot attend the meeting directly)

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual and insitutional investors.

(**) Individual investors only.

(***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021;*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of DC Bond Fund (DCBF);*
- *Rule of voting of the Annual General Meeting Of Investors Of Financial Year 2022.*



I- Fund information:

- Fund name: DC BOND FUND.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488.
- Record date: March 17, 2023.
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2022 of DC Bond Fund (DCBF)

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual Meeting Of Financial Year 2022 of DC Bond Fund (DCBF)

Time for vote: from March 27, 2023 until 17:30pm, April 16, 2023.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, April 16, 2023** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department
Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)
15th Floor , Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **DCBF_AGM 2022_Voting note_XXXXXXXXXX** in which XXXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the Annual Meeting of Investors of Financial Year 2022 are disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section

I/We am/are investor of DC Bond Fund (DCBF) certify my/our voting below is correct and does not change in any case.

Please refer to the content of voting on disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on Fund's performance in 2022.

Agree Disagree No opinion

2. Voting on Fund's Audited Financial Statements in 2022.

Agree Disagree No opinion

3. Voting on 2022 Dividend distribution.

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- Agree Disagree No opinion
- 4. Voting on Fund's operation plan in 2023.**
 Agree Disagree No opinion
- 5. Voting on Fund's Auditor selection in 2023.**
 Agree Disagree No opinion
- 6. Voting on Operation budget for BOR in 2023.**
 Agree Disagree No opinion
- 7. Voting on Fund's Charter amendments.**
- 7.1. Supplement to Section 2 – Definitions.**
 Agree Disagree No opinion
- 7.2. Amending and Supplement to Article 8 – The Supervisory Bank.**
 Agree Disagree No opinion
- 7.3. Amendments, supplement to Point a Clause 5 Article 25 – Decisions of the general investors meeting.**
 Agree Disagree No opinion
- 7.4. Amendments, supplement to Clause 1 Article 26. Objection to decisions of Investors' General Meetings.**
 Agree Disagree No opinion
- 7.5. Amendments, supplement to Clause 9 Article 29. Rights and obligations of members of the Board of Representatives of the Fund.**
 Agree Disagree No opinion
- 7.6. Amendments, supplement to Clause 2 Article 56. Principles of Valuation Method of the Net Asset Value.**
 Agree Disagree No opinion
- 7.7. Amendments, supplement to Clause 4 Article 63. Prices of services paid by the Fund.**
 Agree Disagree No opinion
- 7.8. Amendments, supplement to Clause 1 Article 71. Registration of the Charter.**
 Agree Disagree No opinion
- 7.9. Amendments, supplement to Appendix 1. Commitments of the fund management company.**
 Agree Disagree No opinion
- 7.10. Amendments, supplement to Appendix 2. Commitments of the supervisory bank.**
 Agree Disagree No opinion
- 7.11. Amendments to Appendix 3. Common commitments by fund management company and supervisory bank.**
 Agree Disagree No opinion

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ON BEHALF OF
BOARD OF REPRESENTATIVES

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ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT

[Handwritten signature]

NGUYEN BOI HONG LE
Chairman of BOR



[Handwritten signature]

NGUYEN MINH DANG KHANH
COO

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date:

[Handwritten mark]



¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.