

DRAGON CAPITAL



FUEDCMID ETF DCVFMVN MIDCAP

DOCUMENTS AND VOTING CONTENTS

The First Extraordinary General Meeting Of Investors Of
Financial Year 2022

Dragon Capital Vietnam Investment Fund Management Joint Stock Company
(DCVFM)

Translation Accuracy Disclaimer

The translation is for informational purposes and is not a substitute for the official policy. The original version of the Resolution and the related documents, found on the website of the fund management company (www.dragoncapital.com.vn), is the only definitive and official version. If any questions arise related to the accuracy of the information contained in the translation, please refer to the Vietnamese version of the document. Any discrepancies or differences created in the translation are not binding and have no legal effect for compliance or enforcement purposes.

Meeting Agenda

A. Agenda

- Meeting format** : Written consent
Voting Time : From December 23, 2022 until 17:30, January 05, 2023
Time aggregate reviews : From January 05, 2023, until 17:30, January 09, 2023
Mailing address : Dragon Capital Vietfund Management (DCVFM)
15th Floor, Meling Point Tower, 2 Ngo Duc Ke,
Ben Nghe Ward, District 1, HCMC, Vietnam

B. Content

- Dismissal the member of the Board of Representatives.
- Additional election of a member of the Board of Representatives
- Others (if any).

C. Documents

The financial year 2022's first EGM documents can be found in the Investor Relations – Fund Calendars section on DCVFM's website at www.dragoncapital.com.vn.

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A. VOTING CONTENTS

I. Proposal on dismissal and additional election BoR member

ETF DCVFMVNMIDCAP
(FUEDCMID)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

HCMC, December 23, 2022

To: Valued Investors,

Ref: Dismissal and Election member of the Board of Representatives
of the Fund for the term 2022 – 2027

- Pursuant to the Fund's Charter of ETF DCVFMVNMIDCAP (FUEDCMID);
- Pursuant to the Letter of Resignation from Mr. Nguyen Hoang Khanh.

Dragon Capital VietFund Management (DCVFM) respectfully proposes to the 1st Extraordinary General Meeting of Investors in 2022 of ETF DCVFMVNMIDCAP

(FUEDCMID) to approve:

- The resignation of Mr. Nguyen Hoang Khanh as member of The Board of Representatives of the Fund for the term 2022 – 2027;
- The election of Mr. Dang Viet Hung as a member of The Board of Representatives of the Fund for 2022 - 2027.

List of The Board of Representatives (“BoR”) of the Fund for the term 2021 - 2026 after the election:

No.	Name	Position
1.	Mrs. Nguyen Boi Hong Le	Chairman of the Board, Independent member
2.	Ms. Vuong Thi Hoang Yen	Member of the Board, Independent member
3.	Mr. Dang Viet Hung	Member of the Board

The candidate's information, Mr. Dang Viet Hung is attached to this proposal.

**On behalf of Dragon Capital Vietfund Management
(DCVFM)**

(signed)

NGUYEN MINH DANG KHANH
COO

CANDIDATE INFORMATION

Full name: ĐẶNG VIỆT HÙNG

Sex: Male

DoB: 10/06/1992

Qualification: Bachelor in Laws, Lawyer at HCMC Bar Association

Current position at the other related organization to the Funds: None

Other management positions: None

Benefit Related parties and relatives: None

Working history (details of occupations, positions, past positions, work results at each position/reward, discipline, if any):

Time	Company/ Organization	Position/ Department
10/2014 – 06/2016	Phuong Bắc & Associates Law Firm	Paralegal
07/2016 – 09/2019	RHTLaw Taylor Wessing Vietnam Law Firm	Associate
01/2018 – 10/2018	Colgate – Palmolive (Vietnam) (CPVN)	Legal Specialist
10/2019 – 03/2022	RHTLaw Vietnam Law Firm	Attorney Associate
04/2022 – now	Dragon Capital Vietfund Management	Legal & Compliance Specialist
09/2022 - now	VIETNAM SELECT EQUITIES INVESTMENT FUND (VFMVSF)	Member of the Board of the Representative

II. Voting regulations

**THE FIRST EXTRAORDINARY MEETING OF INVESTORS IN THE YEAR 2022
ETF DCVFMVNMIDCAP (FUEDCMID)
REGULATIONS ON ELECTION OF THE BOARD OF REPRESENTATIVES
REGULATIONS FOR VOTING GENERAL MEETING OF INVESTORS BY
COLLECTING WRITTEN OPINIONS**

Purpose and issues to be consulted to pass the Resolution: to approve the issues that need to be voted on by the General Meeting of Investors of the Fund.

Rules for counting votes:

Total number of votes issued: is the total number of votes for investors sent by the Fund Management Company to the investors of the fund according to the list of voting rights to participate in the General Meeting of Investors.

Total number of votes received: is the total number of votes that investors sent to the Fund Management Company to express their opinions on the issue and opinions.

Time to collect written opinions from Investors: at least 10 days before the deadline that investors shall return the opinion form.

A valid vote is: Investors express their opinions on the voting sheet by choosing one of the options “Agree”, “Disagree” or “No Opinion”. A valid vote is:

- Sent to investors by the Fund Management Company;

- Shall not be erased, corrected or changed in issue;
- Are signed by the owner of the fund unit or authorized person registered with the Fund Management Company or a valid authorizer as prescribed by law;
- Shall be sent to the Fund Management Company within the time limit specified in the Investor Opinion Form.

Valid voting opinions: valid voting opinions when investors only tick one option “Agree”, “Disagree” or “No opinion” for the issue of opinion collection .

Vote counting results: summed up on valid votes of investors.

Voting to pass & Voting not to pass:

Voting "Agree" is considered as a vote for approval. Voting "Disagree" or "No Opinion" is considered a vote of not to pass.

Not participating in the vote:

The investor opinion form has been sent to the investor but has been returned due to the incorrect registered address of the investor, or investor opinion forms are sent to the Fund Management Company at the notified address but after the deadline of the last date of receipt; or if the investor's votes are not sent back, they are considered as not participating in voting on the issues of opinion collection.

Summary of results: The vote counting committee is responsible for summarizing the voting results for each issue and making a vote counting Minutes.

Voting results:

- Voting results in percent are rounded to 02 (two) decimal places;
- Issues to a written vote are approved when approved by the number of investors representing more than fifty percent (50%) of the total number of votes of all the Investors with voting rights.

On behalf of The General Meeting

(signed)

NGUYEN BOI HONG LE

Chairman of the BOR

B. DRAFT RESOLUTION

DRAFT

DCVFMVNMIDCAP ETF
(FUEDCMID)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.:

Ho Chi Minh City, 2023

RESOLUTION

VOTING ISSUES OF THE EXTRAORDINARY MEETING OF INVESTORS - THE FIRST TIME OF THE YEAR 2022 - DCVFMVNMIDCAP ETF (FUEDCMID)

- Pursuant to Law No. 54/2019/QH14 on Securities Law dated 26 November 2021 by the National Assembly;
- Pursuant to Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law;
- Pursuant to Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company;
- Pursuant to Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund;
- Pursuant to the current Charter of DCVFMVNMIDCAP ETF Fund;
- Pursuant to the result of collecting opinions in writing of the Extraordinary meeting of investors - the first time of year 2022 of the DCVFMVNMIDCAP ETF (FUEDCMID) approved by the collecting written opinions according to the Vote Counting Minute dated / / 2023.

RESOLUTES

Article 1: Approval of removal of a member of the Board of Representative of DCVFMVNMIDCAP ETF for the term 2022 – 2027.

Approval of removal of a member of the Board of Representatives of the Fund for Mr. Nguyen Hoang Khanh for the term 2022 - 2027.

Article 2: Approval of additional election members of the Board of Representative of DCVFMVNMIDCAP ETF for the term 2022 – 2027.

Approval of additional election member of Mr. Dang Viet Hung as a non-independent member of the Board of Representatives for the term 2022 - 2027.

Article 3: Effectiveness of the Resolution

The resolution is effective from its signing date.

On behalf of

The Extraordinary General Meeting

NGUYEN BOI HONG LE

Chairman of the BOR

On behalf of

Dragon Capital Viet Fund Management

BEAT SCHURCH

CEO