



**VOTING NOTE**

**VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2021 – 2<sup>ND</sup> CALL  
DC BOND FUND (DCBF)**

*(For investors who cannot attend the meeting directly)*

**INVESTOR INFORMATION**

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_  
issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Total number of fund units owned: \_\_\_\_\_

(\* ) For foreign individual and insitutional investors.

(\*\* ) Individual investors only.

(\*\*\*) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

*Pursuant to:*

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021;*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of DC Bond Fund (DCBF);*
- *Rule of voting of the Annual General Meeting Of Investors Of Financial Year 2021 – 2<sup>nd</sup> Call.*



**I- Fund information:**

- Fund name: DC BOND FUND.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488.
- Record date: March 11, 2022.
- Exercise: 01 fund unit – 01 voting right.

**II- Purpose:** For approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2021 – 2<sup>nd</sup> Call of DC Bond Fund (DCBF)

**III- Time for voting:**

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual Meeting Of Financial Year 2021 – 2<sup>nd</sup> Call of DC Bond Fund (DCBF)

Time for vote: from April 14, 2022 until 17:30pm, May 04, 2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, May 04, 2022** by 1 in 3 mailing methods mentioned below.

**1. Mail:**

Customer Service Department  
Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)  
15<sup>th</sup> Floor , Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com)

Email subject: **DCBF\_AGM 2021\_Voting note\_XXXXXXXXXX** in which XXXXXXXXXXXX is your fund account number.

**IV- AGM documents & Voting content:**

All materials & Voting content of the Annual Meeting of Investors of Financial Year 2021 are disclose on website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the section Investor Relations – Fund Calendars.

**V- Voting section**

I/We am/are investor of DC Bond Fund (DCBF) certify my/our voting below is correct and does not change in any case.

*Please refer to the content of voting on disclose on website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the section Investor Relations – Fund Calendars.*

*Please tick (✓) ONE among 3 boxes as below.*

**1. Voting on Fund's performance in 2021**

Agree  Disagree  No opinion

**2. Voting on Fund's Audited Financial Statements in 2021**

Agree  Disagree  No opinion

**3. Voting on 2021 Dividend distribution**

- Agree                                       Disagree                                       No opinion
- 4. Voting on Fund's operation plan in 2022**
- Agree                                       Disagree                                       No opinion
- 5. Voting on Fund's Auditor selection in 2022**
- Agree                                       Disagree                                       No opinion
- 6. Voting on Operation budget for BOR in 2022**
- Agree                                       Disagree                                       No opinion
- 7. Voting on Fund's Charter amendments**
- 7.1. Amending to the Definitions Section**
- Agree                                       Disagree                                       No opinion
- 7.2. Amending and supplementing Article 8. Supervisory Bank**
- Agree                                       Disagree                                       No opinion
- 7.3. Amendments to Article 9. Investment objectives**
- Agree                                       Disagree                                       No opinion
- 7.4. Amendments to Article 10. Investment Strategy**
- Agree                                       Disagree                                       No opinion
- 7.5. Amendments to Clause 2 Article 11. Investment restrictions**
- Agree                                       Disagree                                       No opinion
- 7.6. Amending and supplementing Article 12. Loan activities, loans, margin transactions**
- Agree                                       Disagree                                       No opinion
- 7.7. Amending and supplementing Point c, Clause 1, Article 16. Register of investors**
- Agree                                       Disagree                                       No opinion
- 7.8. Amending and supplementing Clauses 3 and 5, Article 17. Fund certificate transactions**
- Agree                                       Disagree                                       No opinion
- 7.9. Amending and supplementing Clause 4, Clause 5, Clause 6- Article 18. Partial redemption, suspension of trading of open-ended fund transactions**
- Agree                                       Disagree                                       No opinion
- 7.10. Amending and supplementing Article 23. Rights and obligations of the general investors meeting**
- Agree                                       Disagree                                       No opinion
- 7.11. Amending and supplementing Clause 3, Article 24. Requirements, proceedings of the general investors meeting**
- Agree                                       Disagree                                       No opinion
- 7.12. Amending and supplementing Clause 4, Article 25. Decisions of the general investors meeting**
- Agree                                       Disagree                                       No opinion
- 7.13. Amending and supplementing to Clause 1, Article 26. Objection to decisions of Investors' General Meetings**
- Agree                                       Disagree                                       No opinion

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 LÝ QUỸ ĐÀ  
 SON CAPI  
 VIỆT NAM  
 T. P. HỒ

**7.14. Amending and supplementing Clause 5, Article 27. The Board of Representatives**

Agree  Disagree  No opinion

**7.15. Amending and supplementing Point b Clause 2 Article 28. Term, criteria for selecting members of the Board of Representatives of the Fund**

Agree  Disagree  No opinion

**7.16. Amending and supplementin to Clause 21, Article 29. Rights and obligations of members of the Board of Representatives of the Fund**

Agree  Disagree  No opinion

**7.17. Amending and supplementing Point d, Clause 2 Article 30. Chairman of the Board of Representatives of the Fund**

Agree  Disagree  No opinion

**7.18. Amending and supplementing to Article 32. Dismissal, removal and addition of members of the Board of Representatives of the Fund**

Agree  Disagree  No opinion

**7.19. Amending and supplementing Point I, Clause 1, Article 35. Rights and obligations of the Fund Management Company**

Agree  Disagree  No opinion

**7.20. Amending and supplementing Clause 2, Clause 3, Article 38. Criteria for selecting the Supervisory bank**

Agree  Disagree  No opinion

**7.21. Amending and supplementing to Clause 1, Article 39. Rights and obligations of the Supervisory bank**

Agree  Disagree  No opinion

**7.22. Amending and supplementing to Article 40. Operations of the Supervisory bank**

Agree  Disagree  No opinion

**7.23. Amending and supplementing Article 50. Criteria for selecting and changing the auditing firm**

Agree  Disagree  No opinion

**7.24. Amending and supplementing to Article 56. Principles of Valuation Method of the Net Asset Value**

Agree  Disagree  No opinion

**7.25. Amending and supplementing Clause 1, Clause 2, Article 57. Procedures of valuating the fund's assets**

Agree  Disagree  No opinion

**7.26. Amending and supplementing to Clause 2, Article 59. Principles, criteria for selecting, changing quotation providers**

Agree  Disagree  No opinion

**7.27. Amending and supplementing Clause 3, Article 60. Income of the Fund**

Agree  Disagree  No opinion

**7.28. Amending and supplementing Article 63. Price of services paid by the fund**

Agree  Disagree  No opinion

**7.29. Amending and supplementing to Article 64. The fund's expenses**

Agree  Disagree  No opinion

**7.30. Amending to Chapter XIV. FUND REORGANIZATION AND DISSOLUTION**

Agree  Disagree  No opinion

**7.31. Amending and supplementing to Clause 1, Article 71. Registration of the Charter**

Agree  Disagree  No opinion

**7.32. Amending and supplementing to Appendix 2: Commitment of the Supervisory Bank**

Agree  Disagree  No opinion

**7.33. Amending and supplementing to Appendix 3. Common commitments by fund management company and Supervisory bank**

Agree  Disagree  No opinion

ON BEHALF OF  
BOARD OF REPRESENTATIVES

ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT

**NGUYEN BOI HONG LE**  
Chairman of BOR



**BEAT SCHURCH**  
CEO

**INVESTOR<sup>(1)</sup>**

*(Sign for individual investors/ Sign & seal for institutional investors)*

Name of Investor: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

<sup>1</sup> Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.

