



VOTING NOTE

**VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2021
VIETNAM SELECT EQUITIES INVESTMENT FUND (VFMVSF)**

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual and insitutional investors.

(**) Individual investors only.

(***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021;*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of Vietnam Select Equities Investment Fund (VFMVSF);*
- *Rule of voting by written consent of the Annual General Meeting of Financial Year 2021.*



I- Fund information:

- Fund name: VIETNAM SELECT EQUITIES INVESTMENT FUND.
- License of Establishment and Issue: No 31/GCN-UBCK granted by the SSC dated 02 March 2018; Admended License: No 05/GCN-UBCK granted by the SSC dated 02 December 2019.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488.
- Record date: February 28, 2022.
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the Annual Meeting Of Financial Year 2021 of Vietnam Select Equities Investment Fund (VFMVSF).

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting of Financial Year 2021 of Vietnam Select Equities Investment Fund (VFMVSF).

Time for vote: from March 11, 2022 until 17:30pm, April 01, 2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, April 01, 2022** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **VFMVSF_AGM 2021_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting are disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of Vietnam Select Equities Investment Fund (VFMVSF) certify my/our voting below is correct and does not change in any case.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on Fund's performance in 2021

Agree Disagree No opinion

2. Voting on Fund's Audited Financial Statements in 2021

Agree Disagree No opinion

3. **Voting on 2021 Dividend distribution**
 Agree Disagree No opinion
4. **Voting on Fund's operation plan in 2022**
 Agree Disagree No opinion
5. **Voting on Fund's Auditor selection in 2022**
 Agree Disagree No opinion
6. **Voting on Operation budget for BOR in 2022**
 Agree Disagree No opinion
7. **Voting on Fund's Charter amendments.**
- 7.1. **Amend "Legal basic".**
 Agree Disagree No opinion
- 7.2. **Amendment to Article 1. Name and Contact details.**
 Agree Disagree No opinion
- 7.3. **Amendment to Article 7. Fund Management Company.**
 Agree Disagree No opinion
- 7.4. **Amendment to Point d Clause 1 Article 10. Investment strategy.**
 Agree Disagree No opinion
- 7.5. **Amendment to Clause 1, Article 12. Lending, borrowing, repo transactions and margin transactions.**
 Agree Disagree No opinion
- 7.6. **Amendment to Article 29. Rights and obligations of members of the Board of Representatives of the Fund.**
 Agree Disagree No opinion
- 7.7. **Amendment to Point o Clause 1 Article 39 - Rights and obligations of the Custodian bank.**
 Agree Disagree No opinion
- 7.8. **Amendment to Clause 2 - Article 56. Principles of Valuation Method of the Net Asset Value.**
 Agree Disagree No opinion
- 7.9. **Amendment to Clause 1 – Article 57. Procedures of valuating the fund's assets.**
 Agree Disagree No opinion
- 7.10. **Amendment to Article 59. Principles, criteria for selecting, changing quotation providers.**
 Agree Disagree No opinion
- 7.11. **Amendment to Clause 3 - Article 60. Income of the Fund.**
 Agree Disagree No opinion
- 7.12. **Amendment to Article 62. Service price paid by investors.**
 Agree Disagree No opinion
- 7.13. **Amendment to Article 63. Service prices, fees paid by the Fund.**
 Agree Disagree No opinion
- 7.14. **Amendment to Clause 10 Article 64. The fund's operation expenses.**



- Agree Disagree No opinion
7.15. Amendment to Article 70. Amendment of the Charter.
 Agree Disagree No opinion
7.16. Amendment to Article 71. Registration of the Charter. Update Charter.
 Agree Disagree No opinion
7.17. Amendment to Appendix 1. Commitments of the fund management company.
 Agree Disagree No opinion
7.18. Amendment to Appendix 2. Commitments of the custodian bank.
 Agree Disagree No opinion
7.19. Amendment to Appendix 3. Common commitments by fund management company and custodian bank.
 Agree Disagree No opinion

**ON BEHALF OF
BOARD OF REPRESENTATIVES**



**TRAN DAC SINH
Chairman of BOR**

**ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT**



**BEAT SCHURCH
CEO**

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date: _____

¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.