



VOTING NOTE

**VOTING ISSUES OF THE ANNUAL GENERAL MEETING OF INVESTORS
OF FINANCIAL YEAR 2021 – 2ND CALL
DCVFMVN DIAMOND ETF (FUEVFNVD)**

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual and insitutional investors.

(**) Individual investors only.

(***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021.*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of DCVFMVN DIAMOND ETF (FUEVFNVD);*
- *Rule of voting of the Annual General Meeting of Investors of Financial Year 2021 – 2nd Call.*



I- Fund information:

- Fund name: DCVFMVN DIAMOND ETF.
- License of Establishment and Issue: No 43/GCN-UBCK granted by the SSC dated 22 April 2020.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: February 24, 2022
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2021 – 2nd Call of DCVFMVN DIAMOND ETF (FUEVFNVD).

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting of Investors of Financial Year 2021 – 2nd Call of DCVFMVN DIAMOND ETF (FUEVFNVD).

Time for vote: from March 31, 2022 until 17:30pm, April 20, 2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, April 20, 2022** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **FUEVFNVD_AGM 2021_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the 2021 AGM are disclose on website: www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of DCVFMVN DIAMOND ETF (FUEVFNVD) certify my/our voting below is correct and does not change in any case.

Please refer to the content of voting on disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on Fund's performance in 2021

Agree

Disagree

No opinion

2. **Voting on Fund's Audited Financial Statements in 2021**
 Agree Disagree No opinion
3. **Voting on 2021 Dividend distribution**
 Agree Disagree No opinion
4. **Voting on Fund's operation plan in 2022**
 Agree Disagree No opinion
5. **Voting on Fund's Auditor selection in 2022**
 Agree Disagree No opinion
6. **Voting on Operation budget for BOR in 2022**
 Agree Disagree No opinion
7. **Voting on Fund's Charter amendments**
- 7.1. **Amendments to legal grounds**
 Agree Disagree No opinion
- 7.2. **Amendments to the Definitions Section**
 Agree Disagree No opinion
- 7.3. **Amendments to Article 1. Name and contact address**
 Agree Disagree No opinion
- 7.4. **Amendments to Article 6. Fund management company**
 Agree Disagree No opinion
- 7.5. **Amendments to Clause 3, Article 9. Investment strategy**
 Agree Disagree No opinion
- 7.6. **Amendments and supplements to Clause 2, Clause 5, Clause 9 - Article 10. Investment restrictions**
 Agree Disagree No opinion
- 7.7. **Amendments to Article 11. Method of investment selection**
 Agree Disagree No opinion
- 7.8. **Amendments to Article 16. Creation of Creation Units (Primary trading)**
 Agree Disagree No opinion
- 7.9. **Amendments to Clause 1, Article 18. IPO Creation price and Creation/Redemption price**
 Agree Disagree No opinion
- 7.10. **Amendments to Point c Clause 6 Article 20. General meeting of investors**
 Agree Disagree No opinion
- 7.11. **Amendments to Clause 3- Article 22. Conditions and proceedings of the General Meeting of Investors**
 Agree Disagree No opinion
- 7.12. **Amendments to Clauses 4 and 10 Article 23. Adopting the decision of the Investor's Congress**
- 7.13. Agree Disagree No opinion
Amendments to Clause 2, Clause 5, Article 24. The Board of Representatives

- Agree Disagree No opinion
- 7.14. Amendments to Clause 9, Clause 21, Clause 22 Article 26. Rights and obligations of the Board of Representatives**
- Agree Disagree No opinion
- 7.15. Amendments to Point d, Clause 2, Article 27. Chairman of the Fund's Representative Board**
- Agree Disagree No opinion
- 7.16. Amendments to Article 38. Authorized operations**
- Agree Disagree No opinion
- 7.17. Amendments to Clause 2, Article 40. Obligations of the related service provider**
- Agree Disagree No opinion
- 7.18. Amendments to Point d, Clause 1, Article 44. Rights and obligations of the Authorized Participants**
- Agree Disagree No opinion
- 7.19. Amendments to Article 51. Determination of net asset values of the Fund**
- Agree Disagree No opinion
- 7.20. Amendments to Article 52. Method of determining the net asset value of the Fund**
- Agree Disagree No opinion
- 7.21. Amendments to Clause 2, Clause 3, Article 53. Income of the Fund**
- Agree Disagree No opinion
- 7.22. Amendments to Article 55. Prices of services, Fees paid by the fund**
- Agree Disagree No opinion
- 7.23. Amendments to Article 56. Expenses of the fund**
- Agree Disagree No opinion
- 7.24. Amendments to Clause 1, Article 62. Registration of the Fund**
- Agree Disagree No opinion
- 7.25. Amendments and supplements to Appendix 1**
- Agree Disagree No opinion
- 7.26. Amendments to Appendix 2: Commitment of the Supervisory Bank**
- Agree Disagree No opinion

ON BEHALF OF
BOARD OF REPRESENTATIVES


ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYEN BOI HONG LE
Chairman of BOR



BEAT SCHURCH
CEO

INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date: _____

¹ Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.

