



VOTING NOTE
VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2021
DCVFMVN30 ETF (E1VFN30)

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
 issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual and insitutional investors.

(**) Individual investors only.

(***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021.*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of DCVFMVN30 ETF (E1VFN30);*
- *Rule of voting by written consent of the Annual General Meeting of Financial Year 2021.*



I- Fund information:

- Fund name: DCVFMVN30 ETF.
- License of Establishment and Issue: No 14/GCN-UBCK granted by the SSC dated 18 September 2014.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 04 ,2022.
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the Annual Meeting Of Financial Year 2021 of DCVFMVN30 ETF (E1VFN30).

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting of Financial Year 2021 of DCVFMVN30 ETF (E1VFN30).

Time for vote: from March 15 ,2022 until 17:30pm April 05 ,2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, April 05 ,2022** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department
Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)
15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **E1VFN30_AGM 2021_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting are disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of DCVFMVN30 ETF (E1VFN30) certify my/our voting below is correct and does not change in any case.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on Fund's performance in 2021

Agree Disagree No opinion

2. Voting on Fund's Audited Financial Statements in 2021

- Agree Disagree No opinion
- 3. Voting on 2021 Dividend distribution**
 Agree Disagree No opinion
- 4. Voting on Fund's operation plan in 2022**
 Agree Disagree No opinion
- 5. Voting on Fund's Auditor selection in 2022**
 Agree Disagree No opinion
- 6. Voting on Operation budget for BOR in 2022**
 Agree Disagree No opinion
- 7. Voting on Fund's Charter amendments.**
- 7.1. Amendments to legal basis:**
 Agree Disagree No opinion
- 7.2. Amendments to the Definitions Section:**
 Agree Disagree No opinion
- 7.3. Amendments to Article 1. Name and contact address:**
 Agree Disagree No opinion
- 7.4. Amendments to Clause 3, Article 4. Total mobilization capital and quantity of DCVFMVN30 ETF certificates for initial public offering:**
 Agree Disagree No opinion
- 7.5. Amendments to Article 6. Fund management company:**
 Agree Disagree No opinion
- 7.6. Amendments to Article 7. Supervisory Bank:**
 Agree Disagree No opinion
- 7.7. Amendments to Clause 3, Article 9. Investment strategies:**
 Agree Disagree No opinion
- 7.8. Amendments and supplements to Clause 2 of Article 10. Investment restrictions:**
 Agree Disagree No opinion
- 7.9. Amendments to Article 16. Creation of Creation Units (Primary trading):**
 Agree Disagree No opinion
- 7.10. Amendments to Clause 1, Article 18. IPO Creation price and Creation/Redemption price:**
 Agree Disagree No opinion
- 7.11. Amendments to Clause 3, Article 21. Rights and tasks of the Investor's Meeting:**
 Agree Disagree No opinion
- 7.12. Amendments and supplements to Article 22. Conditions and proceedings of the General Meeting of Investors.:**
 Agree Disagree No opinion
- 7.13. Amendments and supplements to Article 3, Clause 4 and Article 23. Approval of any decision of the Investor's General Meeting:**
 Agree Disagree No opinion

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- 7.14. **Amendments and supplements to Clause 2, 5 Article 24. The Board of Representatives:**
 Agree Disagree No opinion
- 7.15. **Amendments and supplements to clause 9, 21, Clause 22, Article 26. Rights and obligations of the Board of Representatives:**
 Agree Disagree No opinion
- 7.16. **Amendments to Point d, Clause 2, Article 27. Chairman of the Board of Representatives :**
 Agree Disagree No opinion
- 7.17. **Amendments to Clause 1, Article 28. Dismissal, dismissal and addition of members of the Board of Representatives:**
 Agree Disagree No opinion
- 7.18. **Amendments to Clause 7, Article 29. Meeting of the Board of Representatives:**
 Agree Disagree No opinion
- 7.19. **Amendments to Article 30. Minutes of meeting of the Board of Representatives:**
 Agree Disagree No opinion
- 7.20. **Amendments to Clause 2, Clause 3, Article 35. Criteria to choose the Supervisory Bank:**
 Agree Disagree No opinion
- 7.21. **Amendments to Article 36. Rights, obligations and operations of the Supervisory Bank:**
 Agree Disagree No opinion
- 7.22. **Amendments to Article 38. Authorized operations**
 Agree Disagree No opinion
- 7.23. **Amendments and supplements to Article 40. Obligations of the related service provider:**
 Agree Disagree No opinion
- 7.24. **Amendments to Point d, Clause 1, Article 44. Rights and obligations of the Authorized Participants:**
 Agree Disagree No opinion
- 7.25. **Amendments to Article 51. Determination of net asset values of the Fund:**
 Agree Disagree No opinion
- 7.26. **Amendments to Article 52. Methods of determining the net asset value of the fund:**
 Agree Disagree No opinion
- 7.27. **Amendments to Clause 3, Article 53. Income of the Fund:**
 Agree Disagree No opinion
- 7.28. **Amendments to Article 55. Prices of services, Fees paid by the fund:**
 Agree Disagree No opinion
- 7.29. **Amendments to Article 56. The fund's expenses:**
 Agree Disagree No opinion

7.30. To amendments and supplement Point a Clause 2 Article 58. Sequences and procedures of dissolving the fund:

Agree Disagree No opinion

7.31. Amendments to Clause 2, Article 61. Change of the Fund Charter:

Agree Disagree No opinion

7.32. Amendments to Clause 1, Article 62. Registration of the Fund Charter:

Agree Disagree No opinion

7.33. Amendments and supplements to Appendix 1: Commitment of fund management company:

Agree Disagree No opinion

7.34. Amendments to Appendix 2: Commitment of the Supervisory Bank:

Agree Disagree No opinion

7.35. Amendments and supplements to Appendix 3: Joint commitment of fund management companies and supervisory banks:

Agree Disagree No opinion

ON BEHALF OF
BOARD OF REPRESENTATIVES

ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYEN BOI HONG LE
Chairman of BOR



BEAT SCHURCH
CEO



INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date: _____

¹ *Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.*