



VOTING NOTE

VOTING ISSUES OF OF THE ANNUAL MEETING OF FINANCIAL YEAR 2021

DC BLUE CHIP FUND (DCBC)

(For investors who cannot attend the meeting directly)

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (**): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual and insitutional investors.

(**) Individual investors only.

(***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021.*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of DC Blue Chip Fund (DCBC);*
- *Rule of voting of the Annual General Meeting Of Investors Of Financial Year 2021.*



I- Fund information:

- Fund name: DC BLUE CHIP FUND.
- License of Establishment and Issue: No 06/GCN-UBCK granted by the SSC dated 16 December 2013.
- Address: 15th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: March 10, 2022.
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2021 of DC Blue Chip Fund (DCBC).

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting Of Investors Of Financial Year 2021 of DC Blue Chip Fund (DCBC).

Time for vote: from March 21, 2022 until 17:30pm, April 11, 2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, April 11, 2022** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **DCBC_AGM 2021_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the Annual Meeting of Investors of Financial Year 2021 are disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of DC Blue Chip Fund (DCBC) certify my/our voting below is correct and does not change in any case.

Please refer to the content of voting on disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on Fund's performance in 2021

- Agree Disagree No opinion
- 2. Voting on Fund's Audited Financial Statements in 2021**
 Agree Disagree No opinion
- 3. Voting on 2021 Dividend distribution**
 Agree Disagree No opinion
- 4. Voting on Fund's operation plan in 2022**
 Agree Disagree No opinion
- 5. Voting on Fund's Auditor selection in 2022**
 Agree Disagree No opinion
- 6. Voting on Operation budget for BOR in 2022**
 Agree Disagree No opinion
- 7. Voting on Fund's Charter amendments**
- 7.1. Amending to the Definitions Section**
 Agree Disagree No opinion
- 7.2. Amending and supplementing Article 8. Supervisory Bank**
 Agree Disagree No opinion
- 7.3. Amending and supplementing Article 9. Investment objectives**
 Agree Disagree No opinion
- 7.4. Amending and supplementing Article 10. Investment Strategy**
 Agree Disagree No opinion
- 7.5. Amending and supplementing Article 12. Loan activities, loans, margin transactions**
 Agree Disagree No opinion
- 7.6. Amending and supplementing clause 1 Article 41. Investors**
 Agree Disagree No opinion
- 7.7. Amending and supplementing Point c, Clause 1, Article 16. Register of investors**
 Agree Disagree No opinion
- 7.8. Amending and supplementing Clauses 3 and 5, Article 17. Fund certificate transactions**
 Agree Disagree No opinion
- 7.9. Amending and supplementing Clause 4, Clause 5, Clause 6- Article 18. Partial redemption, suspension of trading of open-ended fund transactions**
 Agree Disagree No opinion
- 7.10. Amending and supplementing Article 23. Rights and obligations of the general investors meeting**
 Agree Disagree No opinion
- 7.11. Amending and supplementing Clause 3, Article 24. Requirements, proceedings of the general investors meeting**
 Agree Disagree No opinion
- 7.12. Amending and supplementing Clause 4, Article 25. Decisions of the general investors meeting**



- Agree Disagree No opinion
- 7.13. Amending and supplementing to Clause 1, Article 26. Objection to decisions of Investors' General Meetings**
- Agree Disagree No opinion
- 7.14. Amending and supplementing Clause 5, Article 27. The Board of Representatives**
- Agree Disagree No opinion
- 7.15. Amending and supplementing Point b Clause 2 Article 28. Term, criteria for selecting members of the Board of Representatives of the Fund**
- Agree Disagree No opinion
- 7.16. Amending and supplementin to Clause 21, Article 29. Rights and obligations of members of the Board of Representatives of the Fund**
- Agree Disagree No opinion
- 7.17. Amending and supplementing Point d, Clause 2 Article 30. Chairman of the Board of Representatives of the Fund**
- Agree Disagree No opinion
- 7.18. Amending and supplementing to Article 32. Dismissal, removal and addition of members of the Board of Representatives of the Fund**
- Agree Disagree No opinion
- 7.19. Amending and supplementing Point I, Clause 1, Article 35. Rights and obligations of the Fund Management Company**
- Agree Disagree No opinion
- 7.20. Amending and supplementing Clause 2, Clause 3, Article 38. Criteria for selecting the Supervisory bank**
- Agree Disagree No opinion
- 7.21. Amending and supplementing to Clause 1, Article 39. Rights and obligations of the Supervisory bank**
- Agree Disagree No opinion
- 7.22. Amending and supplementing to Article 40. Operations of the Supervisory bank**
- Agree Disagree No opinion
- 7.23. Amending and supplementing Article 50. Criteria for selecting and changing the auditing firm**
- Agree Disagree No opinion
- 7.24. Amending and supplementing Clause 3, Article 55. Valuation of the Net Asset Value**
- Agree Disagree No opinion
- 7.25. Amending and supplementing to Article 56. Principles of Valuation Method of the Net Asset Value**
- Agree Disagree No opinion
- 7.26. Amending and supplementing Clause 1, Clause 2, Article 57. Procedures of valuating the fund's assets**
- Agree Disagree No opinion
- 7.27. Amending and supplementing to Clause 2, Article 59. Principles, criteria for selecting, changing quotation providers**

