

**VOTING NOTE**

**Voting issues of the 1<sup>st</sup> Extraordinary meeting of Financial year 2022  
Of Vinh An Voluntary Supplemental Pension Fund  
In the Voluntary Supplemental Pension Program  
Provided by Dragon Capital Vietfund Management Joint Stock Company (DCVFM)**

**INVESTOR INFORMATION**

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Account No: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_

issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*\*) : \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Total number of fund units owned: \_\_\_\_\_

(\* ) For foreign individual fund participants only.

(\*\* ) For Individual or Employee only.

(\*\*\*) For Employer only.

----- Required information of Employer -----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Pursuant to:

- *Enterprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2022 and guiding documents on the implementation of the Enterprise Law;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2022;*
- *Decree No. 88/2016/ND-CP dated July 1, 2016 of the Government on the voluntary supplementary retirement program;*
- *Circular No. 86/2017/TT-BTC dated August 15, 2017 of the Ministry of Finance guiding the implementation of a number of articles of the Government's Decree No. 88/2016/ND-CP dated July 1, 2016 on the program voluntary supplementary pension scheme;*
- *Circular 19/2018/TT-BLDTBXH guiding the development of a written agreement to participate in the voluntary supplementary retirement program at an enterprise issued by the Minister of Labor, War Invalids and Social Affairs;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company Other relevant legal documents;*
- *The current Charter of Organization and Operation of Vinh An Voluntary Supplemental Pension Fund;*
- *Rule of voting by written consent of the 1<sup>st</sup> Extraordinary General Meeting Financial Year 2022 of Vinh An Voluntary Supplemental Pension Fund.*



**I- Fund information:**

- Fund name: VINH AN VOLUNTARY SUPPLEMENTAL PENSION FUND.
- Address: 15<sup>th</sup> Floor, Melinh Point Tower, 2 Ngo Duc Ke Street, District 1, Ho Chi Minh City, Vietnam.
- Tel.: (84-28) 38251488.
- Record date: December 31, 2021.
- Exercise: 01 fund unit – 01 voting right.

**II- Purpose:** For approval of voting issues of the 1<sup>st</sup> Extraordinary Meeting of Financial Year 2022.

**III- Thời hạn gửi phiếu biểu quyết:**

Fund participants shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the 1<sup>st</sup> Extraordinary meeting of Financial year 2022.

**Time for vote:** From January 11, 2022 until 17:30pm, January 25, 2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, January 25, 2022** by 1 in 3 mailing methods mentioned below.

**1. Mail:**

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

15<sup>th</sup> Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com)

Email subject: **VINHAN\_EGM 2022\_Voting note\_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

**IV- EGM documents & Voting content:**

All materials & Voting content of the the 1<sup>st</sup> Extraordinary Meeting of Financial Year 2022 are disclose on website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the section Investor Relations – Fund Calendars.

**V- Voting section:**

I/We am/are investor of Vinh An Fund certify my/our voting below is correct and does not change in any case.

*Please refer to the content of voting on disclose on website [www.dragoncapital.com.vn](http://www.dragoncapital.com.vn) under the section Investor Relations – Fund Calendars.*

*Please tick (✓) ONE among 3 boxes as below.*

**Approving the adjustment of Clause 2, Article 9 of the Fund Charter:** to suit the market situation and the ability to invest in assets that the Fund is allowed to invest in.

Name of Pension Fund	VINH AN VOLUNTARY SUPPLEMENTAL PENSION FUND
Investment structure	<ul style="list-style-type: none"> <li>- <b>The target is</b> to aim at least 80% of total assets in government bonds or and fund certificates of bond investment fund, of which at least 50% of total assets are invested in government bonds.</li> <li>- <b>The target is</b> up to 20% of total assets in the fund certificates of a securities investment fund that is not a bond investment fund.</li> <li>- Cash and bank deposits are maintained suitable for the investment activities of the fund.</li> </ul>

**When market, transaction or economic conditions are deemed to be unfavorable to investors, the Fund Management Company may maintain the Fund's investment activities by holding the Fund's assets by holding the Fund's assets, cash and bank deposits. In these cases, the Fund may not achieve the set investment objectives but still comply with the rate prescribed by applicable law.**

Agree

Disagree

No opinion

ON BEHALF OF  
BOARD OF REPRESENTATIVES

ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYỄN ĐẮC SINH  
Chairman of BOR



BEAT SCHURCH  
CEO



**FUND PARTICIPANT <sup>(1)</sup>**

*(Sign for Individual fund participants/ Sign & seal for Employer)*

Full name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

<sup>1</sup> Note: The signature of the fund participant must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.