



VOTING NOTE

Voting issues of the 1st Extraordinary meeting of Financial year 2022

Of Thinh An Voluntary Supplemental Pension Fund

In the Voluntary Supplemental Pension Program

Provided by Dragon Capital Vietfund Management Joint Stock Company (DCVFM)

INVESTOR INFORMATION

Full name: _____

Address: _____

Account No: _____

Securities Trading Code (STC) (*): _____

issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (***): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual fund participants only.

(**) For Individual or Employee only.

(***) For Employer only.

----- Required information of Employer -----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- Enterprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2022 and guiding documents on the implementation of the Enterprise Law;
- Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2022;
- Decree No. 88/2016/ND-CP dated July 1, 2016 of the Government on the voluntary supplementary retirement program;
- Circular No. 86/2017/TT-BTC dated August 15, 2017 of the Ministry of Finance guiding the implementation of a number of articles of the Government's Decree No. 88/2016/ND-CP dated July 1, 2016 on the program voluntary supplementary pension scheme;
- Circular 19/2018/TT-BLDTBXH guiding the development of a written agreement to participate in the voluntary supplementary retirement program at an enterprise issued by the Minister of Labor, War Invalids and Social Affairs;
- Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company Other relevant legal documents;
- The current Charter of Organization and Operation of Thinh An Voluntary Supplemental Pension Fund;
- Rule of voting by written consent of the 1st Extraordinary General Meeting Financial Year 2022 of Thinh An Voluntary Supplemental Pension Fund.



I- Fund information:

- Fund name: THINH AN VOLUNTARY SUPPLEMENTAL PENSION FUND.
- Address: 15th Floor, Melinh Point Tower, 2 Ngo Duc Ke Street, District 1, Ho Chi Minh City, Vietnam.
- Tel.: (84-28) 38251488.
- Record date: December 31, 2021.
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the 1st Extraordinary Meeting of Financial Year 2022.

III- Thời hạn gửi phiếu biểu quyết:

Fund participants shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the 1st Extraordinary meeting of Financial year 2022.

Time for vote: From January 11, 2022 until 17:30pm, January 25, 2022.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:30pm, January 25, 2022** by 1 in 3 mailing methods mentioned below.

1. Mail:

Customer Service Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

15th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **THINHAN_EGM 2022_Voting note_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

IV- EGM documents & Voting content:

All materials & Voting content of the the 1st Extraordinary Meeting of Financial Year 2022 are disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of Thinh An Fund certify my/our voting below is correct and does not change in any case.

Please refer to the content of voting on disclose on website www.dragoncapital.com.vn under the section Investor Relations – Fund Calendars.

Please tick (✓) ONE among 3 boxes as below.

Approving the adjustment of Clause 2, Article 9 of the Fund Charter: to suit the market situation and the ability to invest in assets that the Fund is allowed to invest in.

Name of Pension Fund	THINH AN VOLUNTARY SUPPLEMENTAL PENSION FUND
Investment structure	<ul style="list-style-type: none"> - <u>Target</u> at least 50% of total assets in Government bonds. - <u>Target</u> is up to 50% of total assets to fund certificates of securities investment funds. - Cash and bank deposits are maintained suitable for the investment activities of the fund.

When market, transaction or economic conditions are deemed to be unfavorable to investors, the Fund Management Company may maintain the Fund's investment activities by holding the Fund's assets by holding the Fund's assets, cash and bank deposits. In these cases, the Fund may not achieve the set investment objectives but still comply with the rate prescribed by applicable law.

☐ Agree

☐ Disagree

☐ No opinion

ON BEHALF OF
BOARD OF REPRESENTATIVES

ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



NGUYỄN ĐẮC SINH
Chairman of BOR



BEAT SCHURCH
CEO



FUND PARTICIPANT ⁽¹⁾

(Sign for Individual fund participants/ Sign & seal for Employer)

Full name: _____

Title: _____

Date: _____

¹ Note: The signature of the fund participant must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.