

Translation Accuracy Disclaimer

This document is a translation of Resolution Of The 1st Extraordinary General Meeting of Financial Year 2021. The translation is for informational purposes only, and is not a substitute for the official policy. The original version of the Resolution, found in website of the fund management company (www.dragoncapital.com.vn), is the only definitive and official version. If any questions arise related to the accuracy of the information contained in the translation, please refer to the Vietnamese version of the document. Any discrepancies or differences created in the translation are not binding and have no legal effect for compliance or enforcement purposes.

**DC DYNAMIC SECURITIES FUND
(DCDS)**

No.: 04.21 /DCDS-2021/NQDH

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

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Ho Chi Minh City, 25 January 2022

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RESOLUTION

THE 1st EXTRAORDINARY GENERAL MEETING OF INVESTORS- FINANCIAL YEAR 2021 OF DC DYNAMIC SECURITIES FUND (DCDS)

- Pursuant to Law No. 54/2019/QH14 on Securities Law dated 26 November 2019 by the National Assembly.;
- Pursuant to Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law.
- Pursuant to Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company;
- Pursuant to Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund;
- Pursuant to current Charter of DC Dynamic Securities Fund;
- Pursuant to the unsuccessful results of the 1st convention of the 1st Extraordinary General Meeting of Investors- Financial year 2021 of DCDS dated December 30, 2021;
- Pursuant to the result of The 1st Extraordinary General Meeting Investors- Financial Year 2021 of DCDS was convened the second dated January 25, 2022.

RESOLUTES

Article 1: The General Meeting unanimously passed to Amendment, supplement of the Fund Charter as follows:

1. Amendment, supplement "Legal basic".
2. Amendment, supplement Article 1.Name and Contact details.
3. Amendment, supplement Article 7. Fund Management Company.
4. Amendment, supplement Clause 1- Article 12. Lending, borrowing, repo and margin transactions.
5. Amendment, supplement Clause 3- Article 17. Fund certificate transactions.
6. Amendment, supplement Point b- Clause 1- Article 18. Partial redemption, suspension of trading of open-ended fund transactions.
7. Amendment, supplement Clause 2- Article 56. Principles of Valuation Method of the Net Asset Value.
8. Supplement Clause 1- Article 71. Registration of the Charter

Article 2: Effectiveness of the Resolution

The resolution is effective from its signing date

On behalf of The General Meeting

(signed)

LUU DUC KHANH
Chairman of the BOR

On behalf of Fund Management Company

(signed & sealed)

BEAT SCHURCH
CEO