VOTING REGULATIONS AT THE GENERAL MEETING

1. Purpose

To accurately conclude the issues raised, discussed and voted at the General Meeting.

2. Voting principle

- Exactly, comply with the Law and The Fund Charter.

- The number of votes is calculated according to the number of units owned by the fund or its representative.

- Voting results are calculated based on the total number of votes of all investors attending the meeting.

3. Process

3.1. General rules

- Investors/persons authorized to attend the General Meeting with voting rights will be issued Voting Cards before attending the General Meeting. Voting cards are only distributed once for each Investor code and can not be canceled for any reason during the time of the General Meeting.

- Investors send votes to the General Meeting by mail, fax, email.

- On the Voting Card there is the following information:

  o The 1st Extraordinary General Meeting of Investors Financial Year 2021

  o Code and/or investor name/voting number…

  o Voting method:

    ▪ Agree.

    ▪ Disagree.

    ▪ No opinion

3.2. Classification of votes

- Valid voting cards:

  o For investors directly attending at the General Meeting: apply the form not erase, scrape or edit that issued by the Fund Management Company (with stamp). When an issue is raised for voting, each investor code/investor can only use one Voting Card. Choose one of the three voting methods mentioned above.

  o For investors send votes to the General Meeting: Investors shall send their votes according to the prescribed form to the General Meeting by post, fax and emails to Dragon Capital VietFund Management Joint Stock Company (DCVFM) before 5:00 p.m. the opening day of the meeting. Choose one of the three voting methods mentioned above.

- Invalid vote: Failure to comply with the provisions of the valid vote.

3.3. Summary of results

- The Voting Committee is responsible for summary, making minutes of vote counting, and notify the voting results for each issue.

- When summary the results, invalid votes are counted as not voting.

- The vote minutes must have information as follow:

  o Ratio of "Agree" votes per total votes of investors directly attending at the General Meeting and/or sending votes to DCVFM according to regulations and/or authorized representative present at the General Meeting.

  o Ratio of "disagree" votes per total votes of investors directly attending at the General Meeting and/or sending votes to DCVFM according to regulations and/or authorized representative present at the General Meeting.

  o Ratio of "no opinion" votes per total votes of investors directly attending at the General Meeting and/or sending votes to DCVFM according to regulations and/or authorized representative present at the General Meeting.

4. Voting results

- Voting results in percent are rounded to 02 (two) decimal places.

- Issues for voting at the General Meeting are approved when approved by over fifty percent (50%) of total votes of investors directly attending at the General Meeting and/or sending votes to DCVFM according to regulations and/or authorized representative present at the General Meeting.

- Except the case: Fundamental changes in the investment policy, investment objectives of the fund; Increase service rates paid to fund management company and custodian bank; change the fund management company, custodian bank; Division, separation, merger, consolidation of funds; fund dissolution; change to the operation term of the fund shall be approved when approved by over sixty-five percent (65%) of total votes of investors directly attending at the General Meeting and/or sending votes to DCVFM according to regulations and/or authorized representative present at the General Meeting.

- Voting results announced before the closing of the General Meeting.

5. **Effect**: The Voting Regulations takes effect after the approval of the General Meeting .

Hochiminh, ….,…., 2022
On behalf of the Board of Representatives

DANG THAI NGUYEN
CHAIRMAN