

**VOTING REGULATIONS**  
**THE 1st EXTRAORDINARY GENERAL MEETING**  
**OF FINANCIAL YEAR 2021**  
**DC INCOME PLUS BOND FUND (DCIP)**  
**APPROVED BY WRITTEN CONSENT**

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**I. Purpose and issues to be consulted in order to pass the Resolution:** to approve the issues that need to be voted on at the 1st Extraordinary General Meeting of Investors of financial year 2021.

**II. Rules for counting votes:**

- 1. Total number of votes issued:** is the total number of votes for investors sent by the Fund Management Company to the Fund's Investors according to the authority list to participate in the 1st Extraordinary General Meeting of Investors of Financial Year 2021.
- 2. Total number of votes received:** is the total number of votes from investors sent to the Fund Management Company to express their views on the content and opinions.
- 3. Time to collect investors' opinions:** at least 10 days before the deadline, investors must return the opinion form.
- 4. Valid voting sheet:** Investors express their opinions on the voting sheet by choosing one of the options "Agree", "Disagree" or "No opinion".

A valid vote is a vote:

- Voting cards sent to investors by the Fund Management Company;
  - Vouchers must not be erased, corrected or changed in content;
  - Voting cards are signed by the owner of the fund unit or authorized person registered with the Fund Management Company or a valid authorizer as prescribed by law.
  - Voting ballots shall be sent to the Fund Management Company within the time limit specified in the Investor Opinion Form .
- 5. Valid voting opinions:** valid voting opinions when investors only tick one option "Agree", "Disagree" or "No opinion" for the content of opinion collection .
  - 6. Vote counting results:** summed up on valid votes of investors.
  - 7. Voting to pass & Voting not to pass :**  
Voting "Yes" is considered as a vote for approval. Voting "Disagree" or "No opinion" is considered a vote of not to pass.
  - 8. Not participating in the vote:**  
The investor opinion form has been sent to the investor but has been returned due to the incorrect registered address of the investor, or investor opinion forms are sent to the Fund Management Company at the notified address but after the deadline of the last date of receipt ; or if the investor's votes are not sent back, they are considered as not participating in voting on the contents of opinion collection.

**9. Summary of results:** The vote counting committee is responsible for summarizing the voting results for each issue and making a vote counting minutes.

**10. Voting results:**

- Voting results as a percentage rounded to 02 (two) decimal places.
- Issues subject to a written vote are approved when approved by investors representing more than fifty percent (51%) of the total number of fund units of the investors.

*Ho Chi Minh City, September 17, 2021*

**On behalf of the Board of Representatives**



**NGUYEN BOI HONG LE**  
**Chairman of BOR**