



**VOTING NOTE**

**VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2020– 2<sup>ND</sup> TIME  
VIETNAM BLUE-CHIP INVESTMENT FUND (VFMVF4)**

**INVESTOR INFORMATION**

Full name: \_\_\_\_\_

Address: \_\_\_\_\_

Securities Trading Code (STC) (\*): \_\_\_\_\_  
issued by Vietnam Securities Depository (VSD).

ID No (\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Business registration No (\*\*\*): \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Total number of fund units owned: \_\_\_\_\_

(\*) For foreign individual and institutional investors.

(\*\*) Individual investors only.

(\*\*\*) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: \_\_\_\_\_

ID/Passport No/ID: \_\_\_\_\_ dated \_\_\_\_\_ by \_\_\_\_\_

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021.*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of Vietnam Blue-Chip Investment Fund (VFMVF4);*
- *Rule of voting by written consent of the Annual General Meeting of Financial Year 2020.*



**I- Fund information:**

- Fund name: VIETNAM BLUE-CHIP INVESTMENT FUND
- License of Establishment and Issue: No 06/GCN-UBCK granted by the SSC dated 16 December 2013.
- Address: Unit 1701-04, 17th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488
- Record date: 03/03/2021.
- Exercise: 01 fund unit – 01 voting right.

**II- Purpose:** For approval of voting issues of the Annual Meeting Of Financial Year 2020 – 2<sup>nd</sup> Time of Vietnam Blue-Chip Investment Fund (VFMVF4).

**III- Time for voting:**

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting of Financial Year 2020 – 2<sup>nd</sup> Time of Vietnam Blue-Chip Investment Fund (VFMVF4).

Time for vote: from 07 April 2021 to before 17:00 pm 27 April 2021.

Voting note should be sent to Dragon Capital VietFund Management (DCVFM) before **17:00pm, 27 April 2021** by 1 in 3 mailing methods mentioned below.

**1. Mail:**

Investor Relation Department

Dragon Capital Vietnam Investment Fund Management Joint Stock Company (DCVFM)

Unit 1701-04, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

**2. Fax:**

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

**3. E-mail:**

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: [cskh@dragoncapital.com](mailto:cskh@dragoncapital.com)

Email subject: **VFMVF4\_AGM 2020\_Voting note\_[XXXXXXXXXX]** in which XXXXXXXXXX is your fund account number.

**IV- AGM documents & Voting content:**

All materials & Voting content of the Annual General Meeting are disclose on website: [www.dcvfm.com.vn](http://www.dcvfm.com.vn) under the section Investor Relations – Fund Calendars.

**V- Voting section:**

I/We am/are investor of Vietnam Blue-Chip Investment Fund (VFMVF4) certify my/our voting below is correct and does not change in any case.

*Please refer to the content of voting on disclose on website [www.dcvfm.com.vn](http://www.dcvfm.com.vn) under the section Investor Relations – Fund Calendars.*

*Please tick (✓) ONE among 3 boxes as below.*

**1. Voting on Fund's performance in 2020**

- |   |                                   |                                     |
|---|-----------------------------------|-------------------------------------|
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>2. Voting on Fund's Audited Financial Statements in 2020</b> |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>3. Voting on 2020 Dividend distribution</b>                  |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>4. Voting on Fund's operation plan in 2021</b>               |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>5. Voting on Fund's Auditor selection in 2021</b>            |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>6. Voting on Operation budget for BOR in 2021</b>            |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>7. Voting on All content of Fund's Charter amendments</b>    |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |
| <b>8. Voting on Change of the term of BOR</b>                   |                                   |                                     |
| <input type="checkbox"/> Agree                                  | <input type="checkbox"/> Disagree | <input type="checkbox"/> No opinion |



**ON BEHALF OF  
BOARD OF REPRESENTATIVES**

**ON BEHALF OF  
DRAGON CAPITAL VIETFUND MANAGEMENT**



**DANG THAI NGUYEN**  
Chairman of BOR

**BEAT SCHURCH**  
CEO

**INVESTOR<sup>(1)</sup>**

*(Sign for individual investors/ Sign & seal for institutional investors)*

Name of Investor: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

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<sup>1</sup> *Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.*