



VOTING NOTE
VOTING ISSUES OF THE ANNUAL MEETING OF FINANCIAL YEAR 2020
VIETNAM SELECT EQUITIES INVESTMENT FUND (VFMVSF)

INVESTOR INFORMATION

Full name: _____

Address: _____

Securities Trading Code (STC) (*): _____
issued by Vietnam Securities Depository (VSD).

ID No (**): _____ dated _____ by _____

Business registration No (**): _____ dated _____ by _____

Total number of fund units owned: _____

(*) For foreign individual and insitutional investors.

(**) Individual investors only.

(***) Institutional investors only.

-----Required information of institutional investors-----

Legal Representative: _____

ID/Passport No/ID: _____ dated _____ by _____

Pursuant to:

- *Interprises Law No 59/2020/QH14 promulgated by the National Assembly of the Socialist Republic of Vietnam dated June 17, 2020, taking effect from January 1, 2021;*
- *Securities Law No 54/2019/QH14 promulgated by the Socialist Republic of Vietnam on November 26, 2019, taking effect from January 1, 2021;*
- *Decree No 155/2020/NĐ-CP dated 31 December 2020 by the Government, guiding the implementation of a number of articles of the Securities Law, taking effect from January 1, 2021;*
- *Circular 96/2020/TT-BTC dated November 16, 2020 by the Ministry of Finance providing guidelines on disclosure of information on securities market, taking effect from January 1, 2021;*
- *Circular 98/2020/TT-BTC dated 16 December 2020 by the Ministry of Finance guiding the establishment and management of the securities Fund, taking effect from January 1, 2021;*
- *Circular 99/2020/TT-BTC dated 16 November 2020 by the Ministry of Finance guiding the establishment, organization and operation of the fund management company, taking effect from January 1, 2021;*
- *Other relevant legal documents;*
- *The current Charter of Organization and Operation of Vietnam Select Equities Investment Fund (VFMVSF);*
- *Rule of voting by written consent of the Annual General Meeting of Financial Year 2020.*



I- Fund information:

- Fund name: VIETNAM SELECT EQUITIES INVESTMENT FUND (VFMVSF).
- License of Establishment and Issue: No 31/GCN-UBCK granted by the SSC dated 02 March 2018; Admended License: No 05/GCN-UBCK granted by the SSC dated 02 December 2019.
- Address: Unit 1701-04, 17th Floor, Melinh Point Tower, 02 Ngo Duc Ke, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
- Tel.: (84-28) 38251488.
- Record date: 03/03/2021.
- Exercise: 01 fund unit – 01 voting right.

II- Purpose: For approval of voting issues of the Annual Meeting Of Financial Year 2020 of Vietnam Select Equities Investment Fund (VFMVSF).

III- Time for voting:

Investors shall fill in blanks and tick (✓) on an appropriate box for approval of voting issues of the Annual General Meeting of Financial Year 2020 of Vietnam Select Equities Investment Fund (VFMVSF).

Time for vote: from 16 March 2021 to before 17:30 pm 29 March 2021.

Voting note should be sent to DCVFM before **17:30pm, 29 March 2021** by 1 in 3 mailing methods mentioned below.

1. Mail:

Investor Relation Department
Dragon Capital Vietfund Management Joint Stock Company (DCVFM)
#1701-04, 17th Floor, Me Linh Point Tower, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

2. Fax:

Please fill in all information, sign, seal (for institutional investors) and fax to (84-28) 38251489.

3. E-mail:

Please fill in all information, sign, seal (for institutional investors), scan and send to E-mail address: cskh@dragoncapital.com

Email subject: **VFMVSF_AGM 2020_Voting note_[XXXXXXXXXX]** in which XXXXXXXXX is your fund account number.

IV- AGM documents & Voting content:

All materials & Voting content of the Annual General Meeting are disclose on website: www.dcvfm.com.vn under the section Investor Relations – Fund Calendars.

V- Voting section:

I/We am/are investor of Vietnam Select Equities Investment Fund (VFMVSF) certify my/our voting below is correct and does not change in any case.

Please refer to the content of voting on disclose on website www.dcvfm.com.vn under the section Investor Relations – Fund Calendars.

Please tick (✓) ONE among 3 boxes as below.

1. Voting on Fund's performance in 2020

Agree Disagree No opinion

2. Voting on Fund's Audited Financial Statements in 2020

- Agree Disagree No opinion
3. **Voting on 2020 Dividend distribution**
 Agree Disagree No opinion
4. **Voting on Fund's operation plan in 2021**
 Agree Disagree No opinion
5. **Voting on Fund's Auditor selection in 2021**
 Agree Disagree No opinion
6. **Voting on Operation budget for BOR in 2021**
 Agree Disagree No opinion
7. **Voting on All content of Fund's Charter amendments**
 Agree Disagree No opinion
8. **Voting on BOR election for the term of 2021 – 2026**

Investors agreed on BOR election of Vietnam Select Equities Investment Fund (VFMVSF) for the term of 2021 – 2026.

No	Name	Titte	Agree	Disagree	No opinion
1	Mr. Tran Dac Sinh	Independent member			
2	Ms. Vuong Thi Hoang Yen	Independent member			
3	Mr. Nguyen Hoang Khanh	Member			

Investors agreed on Mr. Tran Dac Sinh as Chairman of Vietnam Select Equities Investment Fund (VFMVSF) for the term of 2021 – 2026.

Name	Titte	Agree	Disagree	No opinion
Mr. Tran Dac Sinh	Chairman			

ON BEHALF OF
BOARD OF REPRESENTATIVES



TRAN DAC SINH
Chairman of BOR

ON BEHALF OF
DRAGON CAPITAL VIETFUND MANAGEMENT



BEAT SCHURCH
CEO



INVESTOR⁽¹⁾

(Sign for individual investors/ Sign & seal for institutional investors)

Name of Investor: _____

Title: _____

Date: _____

¹ *Note: The signature of the investor must be the signature of the fund unit owner or of the authorized person registered with the Fund Management Company or its authorized representative in accordance with law.*